
Fundamentals of Switched-Mode Power Converter Design

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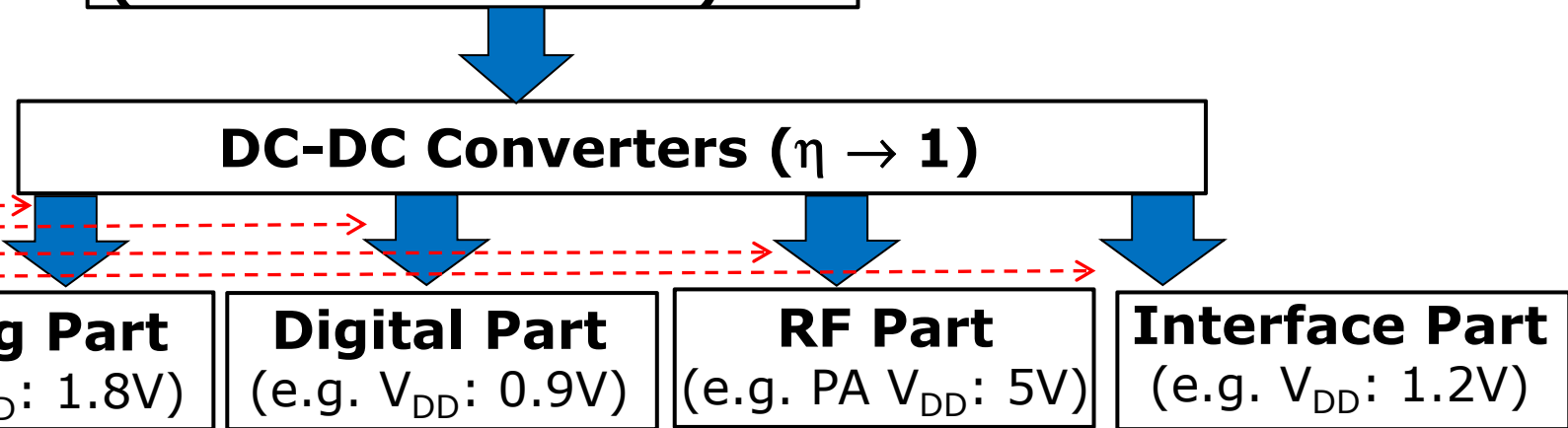
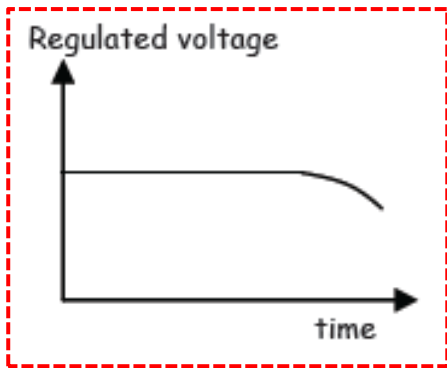
Feb. 11, 2018

Why DC-DC Converters?

Battery-Operated Portable Electronic Gadgets

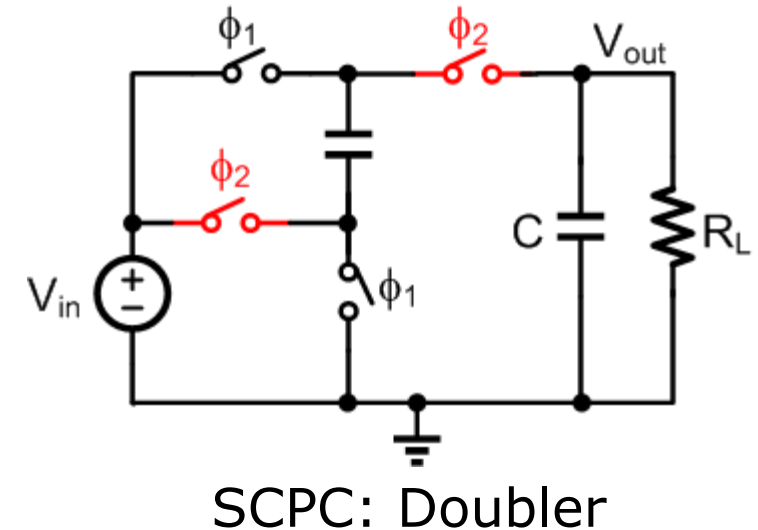
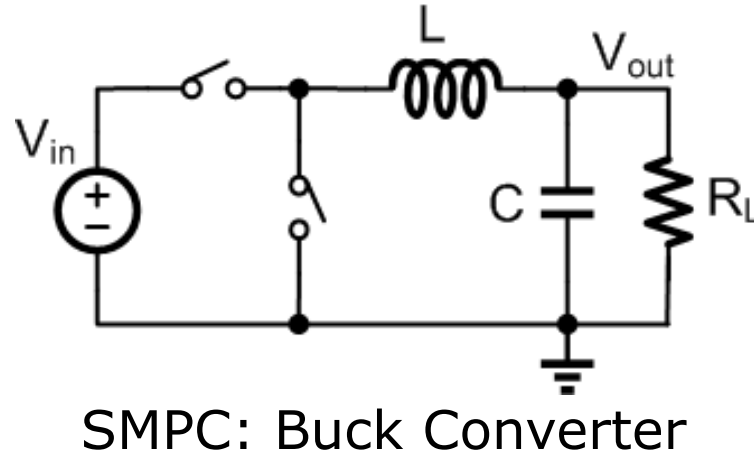
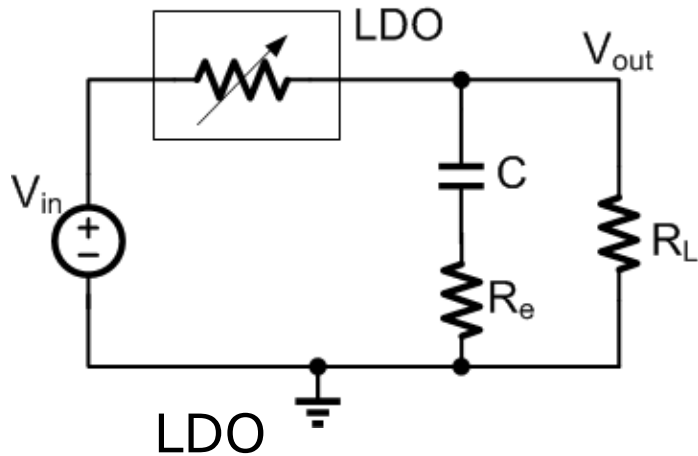


Energy Source
(Li-Ion: 2.7V – 4.2V)



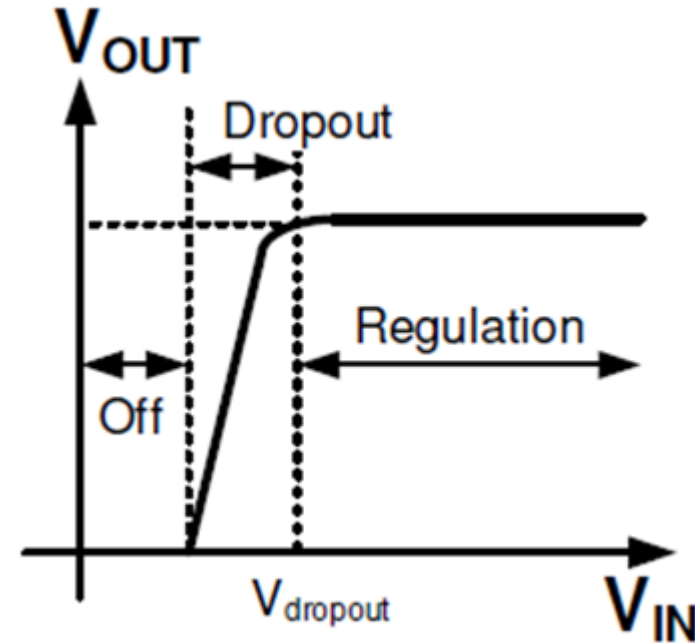
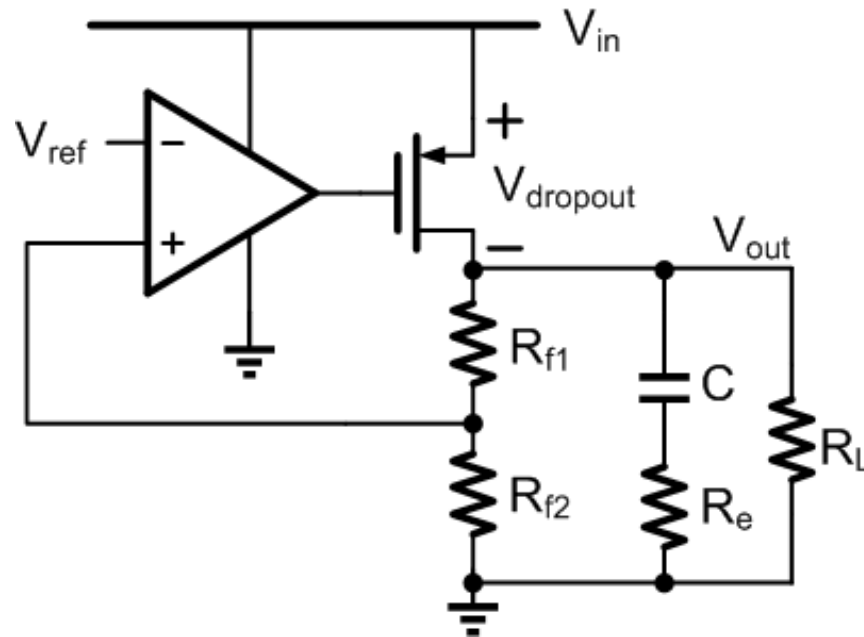
- ❑ Battery voltage is time-varying.
- ❑ DC-DC converters are part of power management to provide regulated voltages.

Types of DC-DC Converters



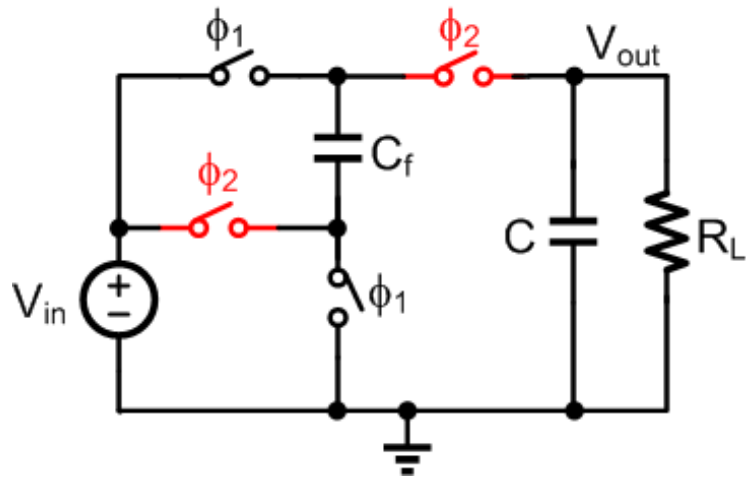
- **Low Dropout Regulator (LDO)**
 - Continuous-time system with a single power transistor
- **Switched-Mode Power Converter (SMPC)**
 - Sampled-data system using inductor for energy storage
- **Switched-Capacitor Power Converter (SCPC)**
 - Sampled-data system using capacitor for energy storage

Low Dropout Regulator

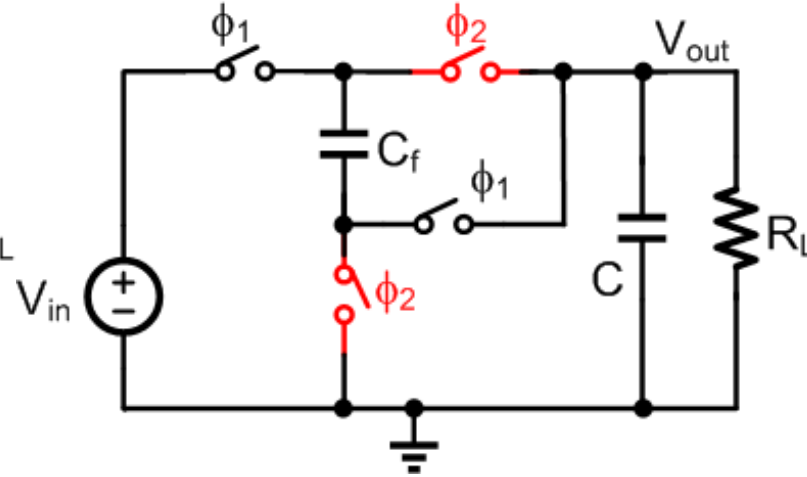


- Based on the LDO structure, V_{out} is always smaller than V_{in} .
- As input current \approx load current, η approximately equals V_{out}/V_{in} ($=1-(V_{dropout}/V_{in})$).
- In practice, Power pMOS can reduce $V_{dropout}$ to about 0.1V – 0.2V and is more commonly used than nMOS counterpart for maximizing η .
- Power FET does not switch during operation, so V_{out} is low noise.

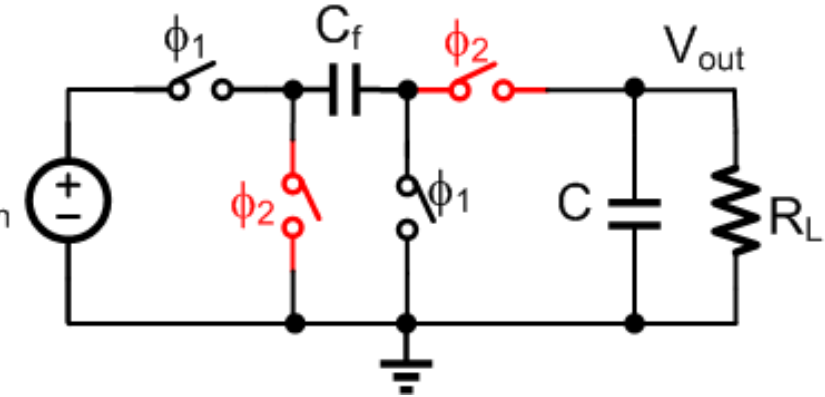
Switched-Capacitor Power Converters (1)



$$V_{out} = 2V_{in}$$



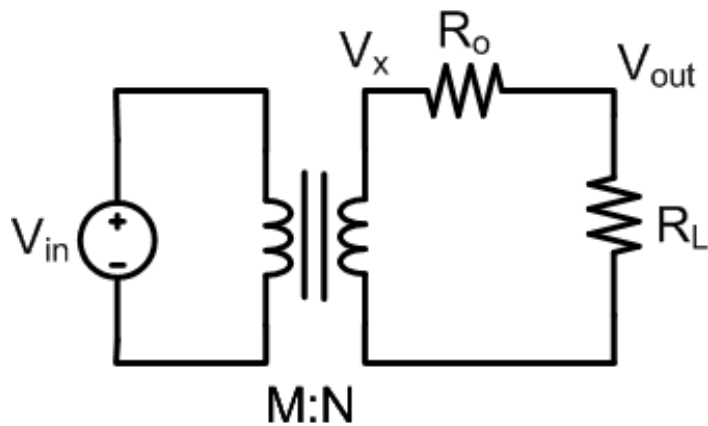
$$V_{out} = 0.5V_{in}$$



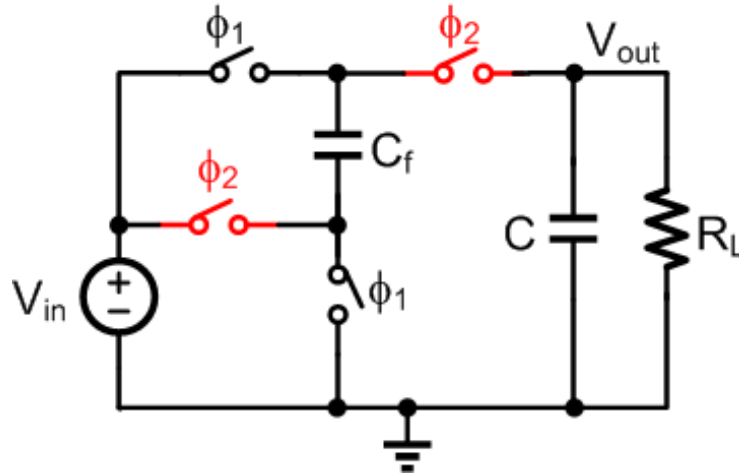
$$V_{out} = -V_{in}$$

- By changing connections of switches and capacitors, different conversion ratios between V_{in} and V_{out} (step-up, step-down or inverting) can be realized.
- Flying capacitor C_f is used for temporary energy storage.
- V_{out} relates to V_{in} with a fixed conversion ratio via two complimentary clock phases ϕ_1 and ϕ_2 .

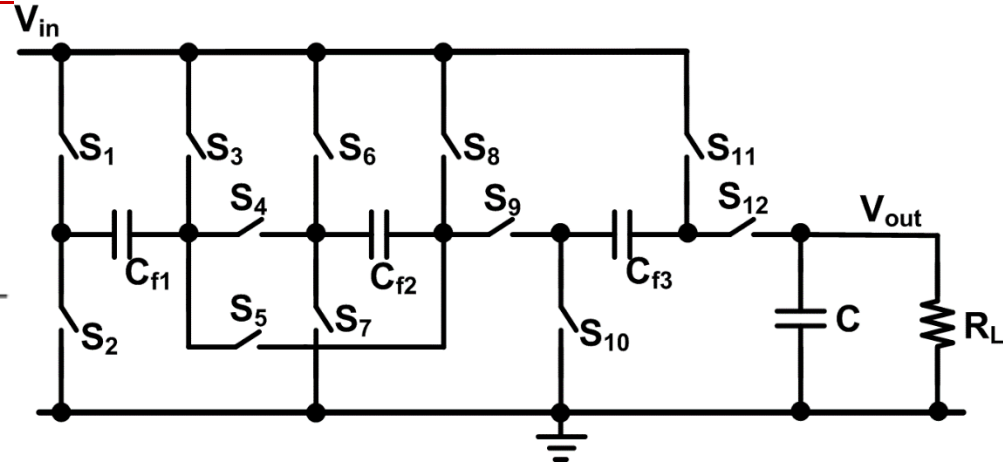
Switched-Capacitor Power Converters (2)



Model of SCPC



$$V_{out} = 2V_{in}$$

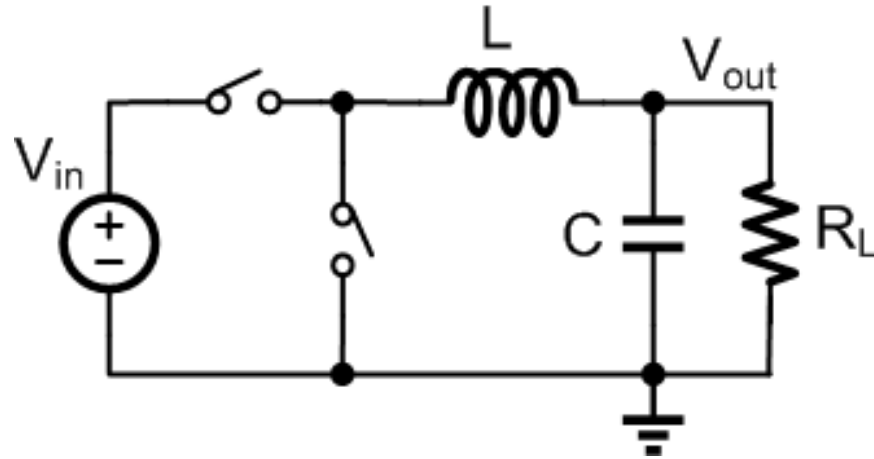


$$V_{out} = 2/2.5/3/4V_{in}$$

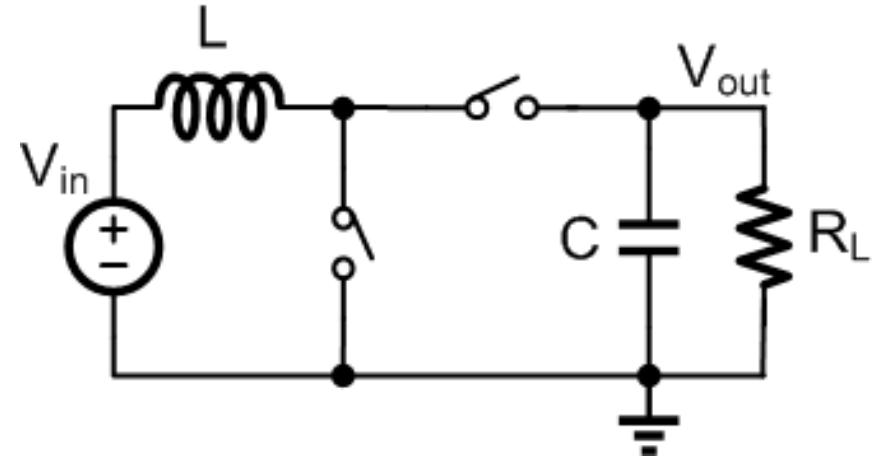
H. Lee et. al., TVLSI, Apr. 2015, pp. 712-722.

- $V_{out} = (N/M) \cdot R_L / (R_L + R_o) \cdot V_{in}$, so V_{out} can be regulated by adjusting output resistance R_o of the power stage; where N/M is the conversion ratio.
- Ideal $\eta = (V_{out} \cdot I_{out}) / V_{in} \cdot I_{in} = (V_{out} / V_x) \cdot (V_x / V_{in}) \cdot (I_{out} / I_{in}) = V_{out} / V_x$ (i.e. η of LDO).
- Lossless V_{out} regulation is to change N/M when V_{in} varies.
- Numbers of flying capacitors and switches however grow rapidly when the resolution of input-output conversion ratio increases.

Switched-Mode Power Converters



Buck Converter



Boost Converter

- With different configurations of L and two switches, two most common topologies: buck (step-down) and boost (step-up) in portable applications are illustrated.
- The converter operates in two complementary phases with an inductor for temporary energy storage.
- The converter provides continuous conversion ratio between V_{in} and V_{out} .

Characteristics of DC-DC Converters

	Types	Pros	Cons
Inductor-less	LDO	<ul style="list-style-type: none"> ■ Simplest and lowest cost ■ Low output noise 	<ul style="list-style-type: none"> ■ No step-up conversion ■ Poor efficiency if the voltage mismatch between input and output is large
	SCPC	<ul style="list-style-type: none"> ■ Step up / down conversion ■ Low cost ■ High energy density 	<ul style="list-style-type: none"> ■ High switch count ■ Tradeoff between power efficiency and power density
Inductor-based	SMPC	<ul style="list-style-type: none"> ■ Highest power efficiency ■ Step up / down conversion 	<ul style="list-style-type: none"> ■ Relatively high cost

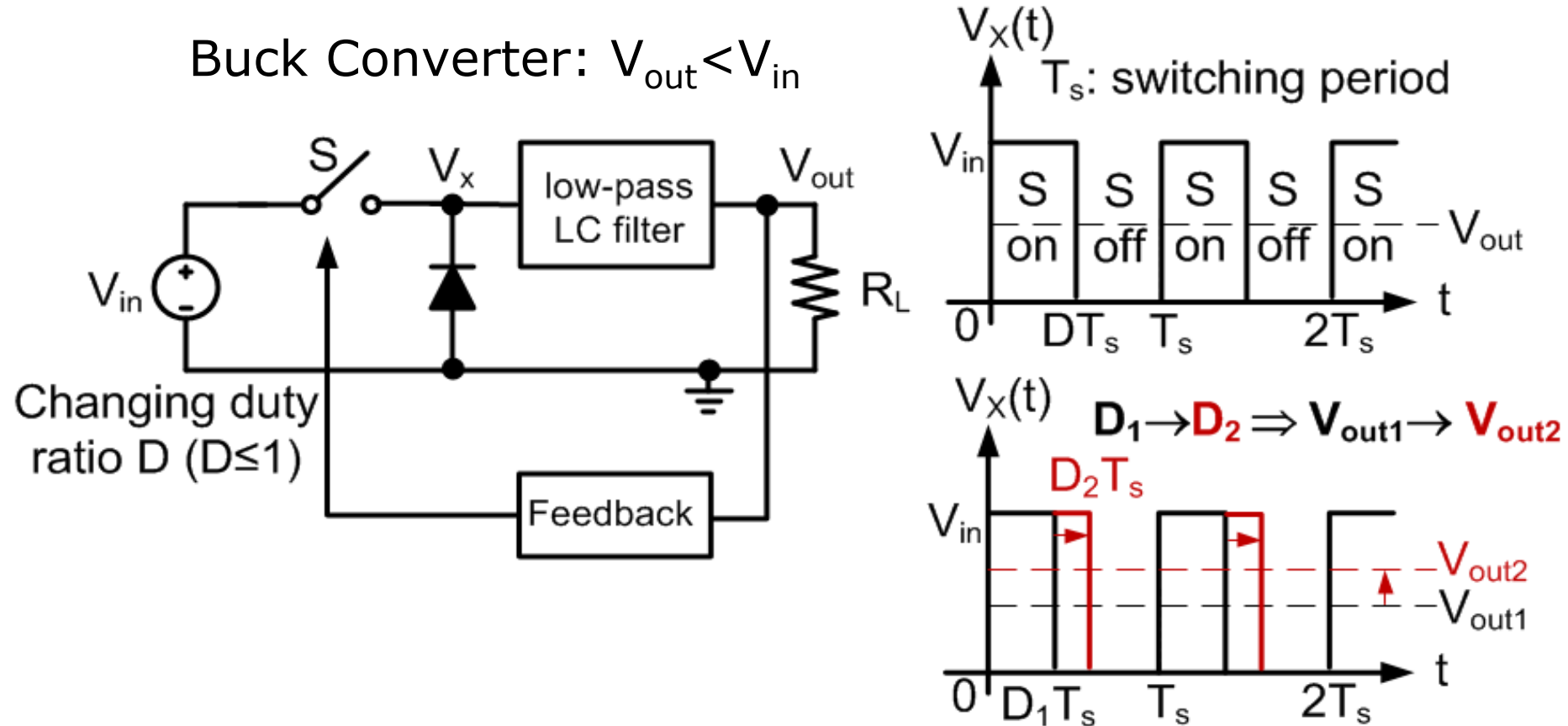
Outline

- SMPC Basics and Design Considerations

- Control Methodologies and Design Issues
 - Voltage-mode PWM and compensator design
 - Current-mode PWM and compensator design
 - Current sensor designs
 - Gate driver designs
 - Hysteretic control

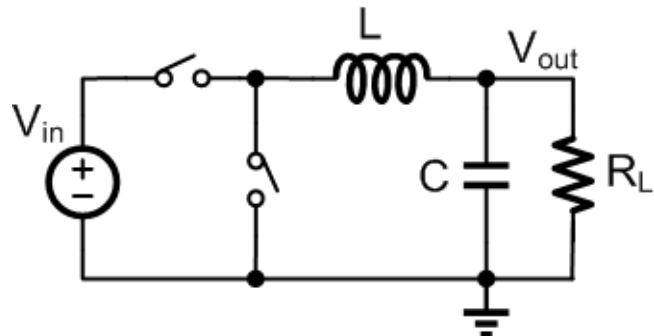
- Advanced Topic
 - Three-Level DC-DC Converter

SMPC Basic

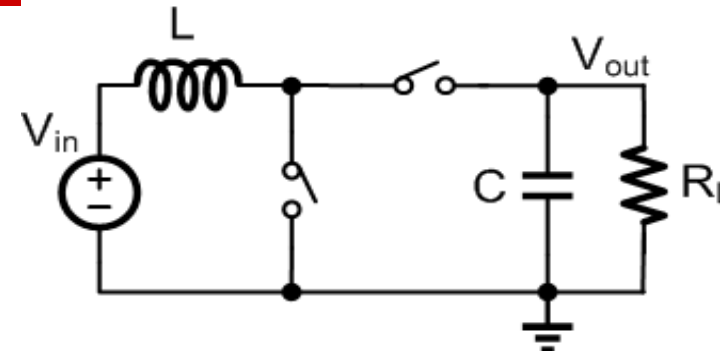


- V_{out} is a function of duty ratio D ($0 \leq D \leq 1$) and V_{in} .
- Power efficiency (η) = 100% (ideal) with a lossless switch, diode and LC filter.

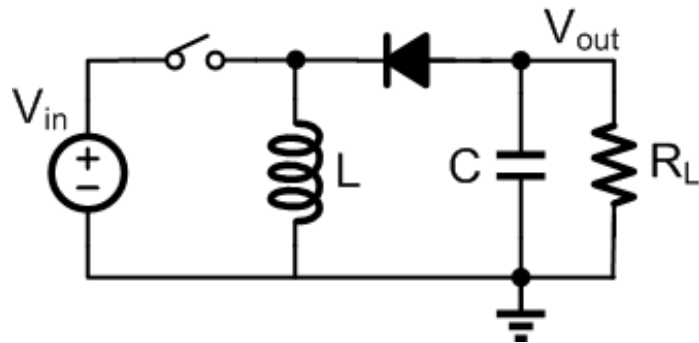
DC-DC Topologies of SMPC



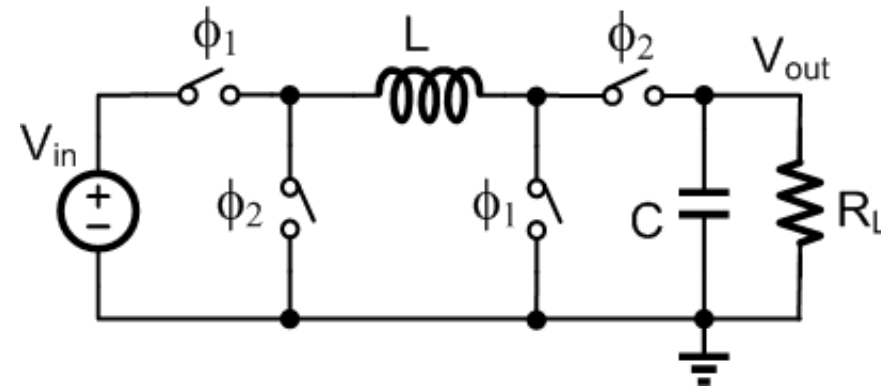
Buck: Step-down only



Boost: Step-up only



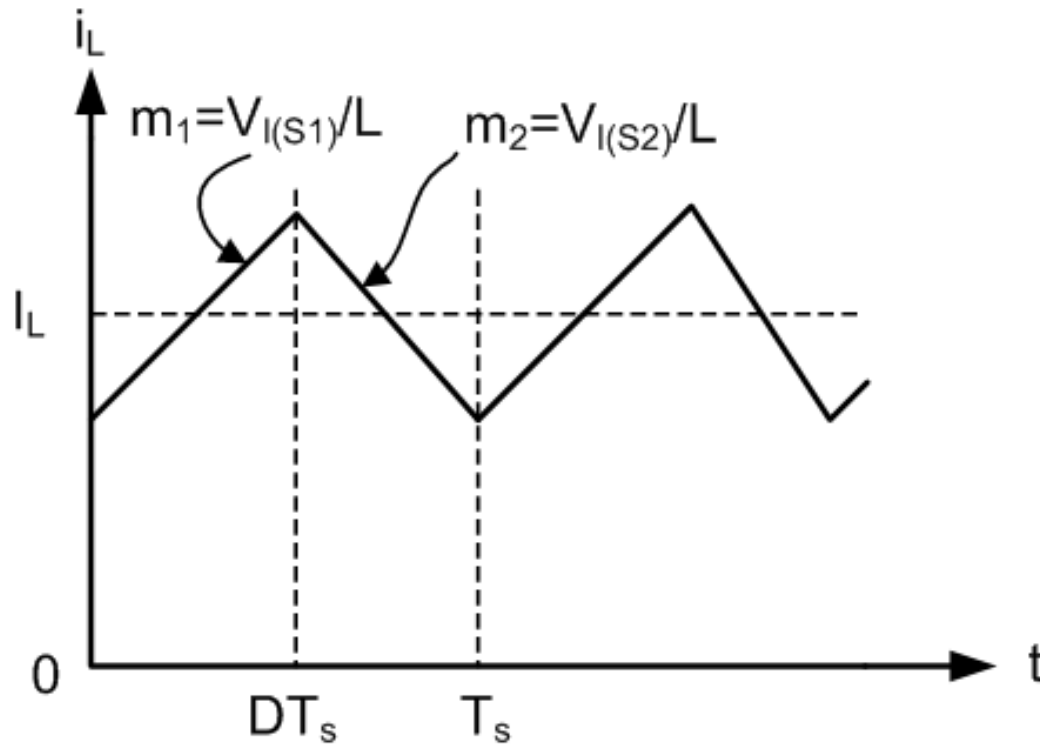
Flyback (buck-boost): Step-up or -down, and V_{out} and V_{in} with opposite polarities



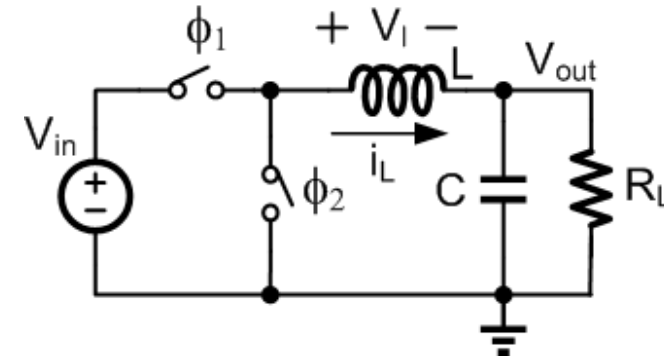
Non-inverting Flyback: Step-up or -down, and V_{out} and V_{in} with the same polarity

Continuous Conduction Mode

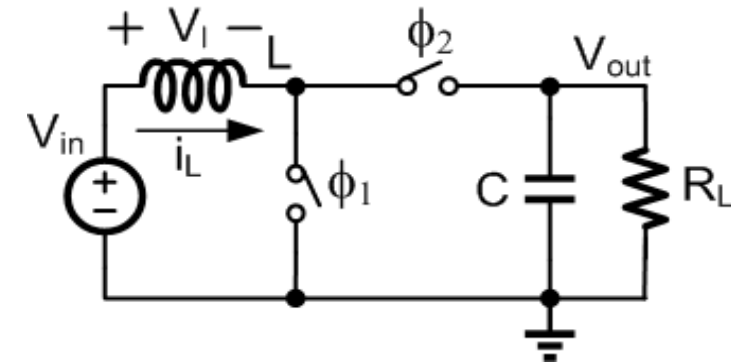
- The converter operates in **continuous conduction mode (CCM)** if the inductor current is always larger than 0 in the entire switching period T_s .



Buck: Step-down

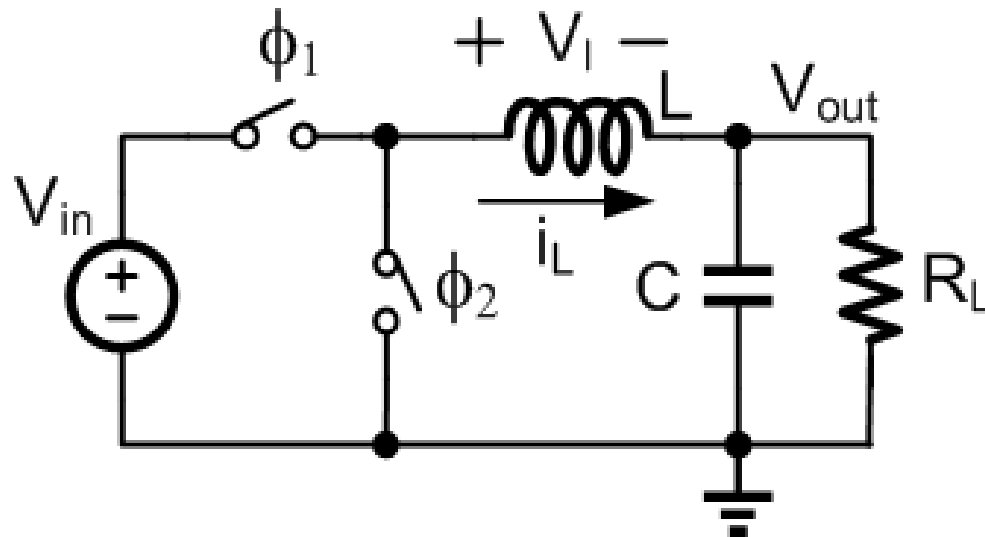


Boost: Step-up



Buck Converter in CCM

- The voltage conversion ratio can be obtained using **Volt-Second Balance**.
- Note: V_L in state 1 (ϕ_1) = $V_{in} - V_{out}$ and V_L in state 2 (ϕ_2) = $-V_{out}$ assuming ideal switches and inductor.



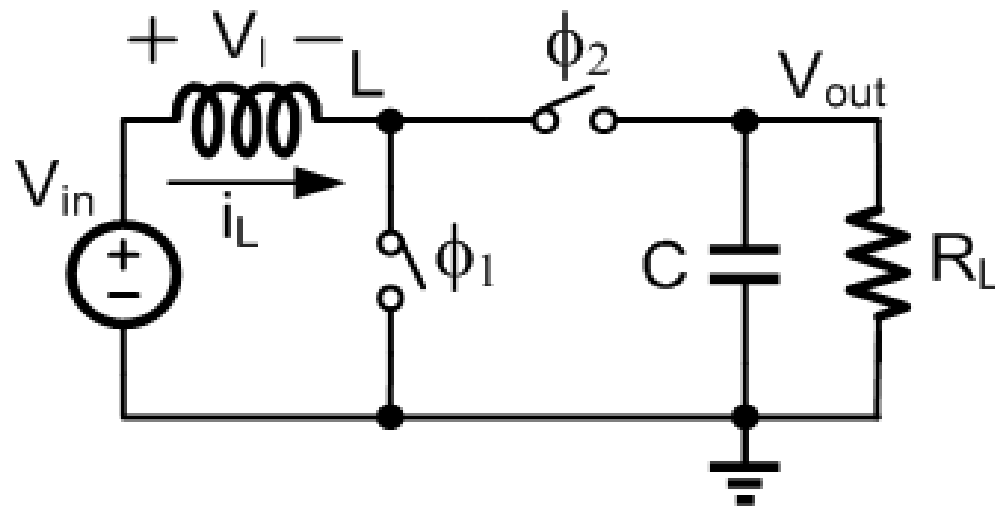
$$m_1 D = m_2 (1 - D)$$

$$(V_{in} - V_{out}) D = V_{out} (1 - D)$$

$$\frac{V_{out}}{V_{in}} = D$$

Boost Converter in CCM

- The voltage conversion ratio can be obtained using **Volt-Second Balance**.
- Note: V_L in state 1 (ϕ_1) = V_{in} and V_L in state 2 (ϕ_2) = $V_{in} - V_{out}$ assuming ideal switches and inductor.



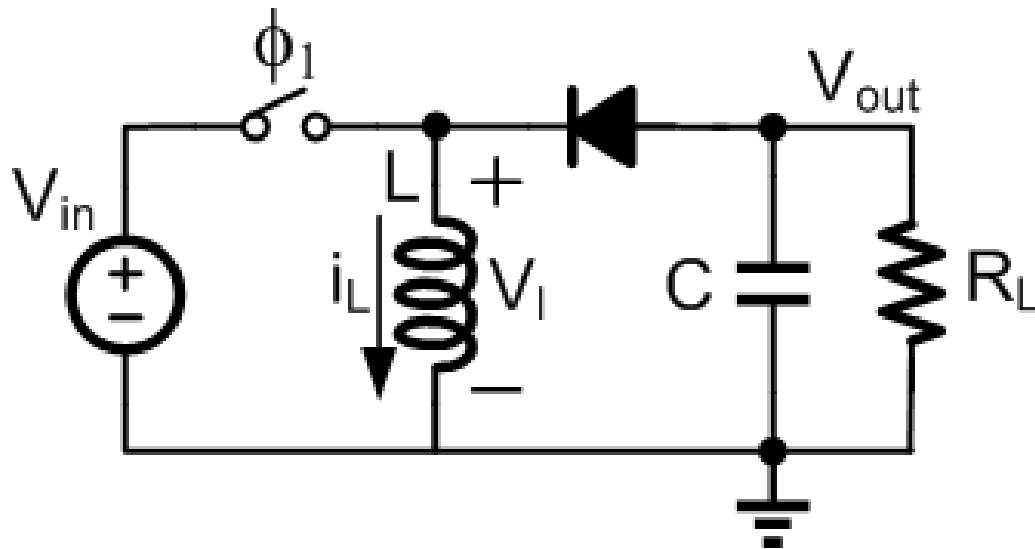
$$m_1 D = m_2 (1 - D)$$

$$V_{in} D = (V_{out} - V_{in})(1 - D)$$

$$\frac{V_{out}}{V_{in}} = \frac{1}{1 - D}$$

Flyback Converter in CCM

- The voltage conversion ratio can be obtained using **Volt-Second Balance**.
- Note: V_L in state 1 (ϕ_1) = V_{in} and V_L in state 2 ($T_s - \phi_1$) = V_{out} assuming ideal switch, diode and inductor.



$$m_1 D = m_2 (1 - D)$$

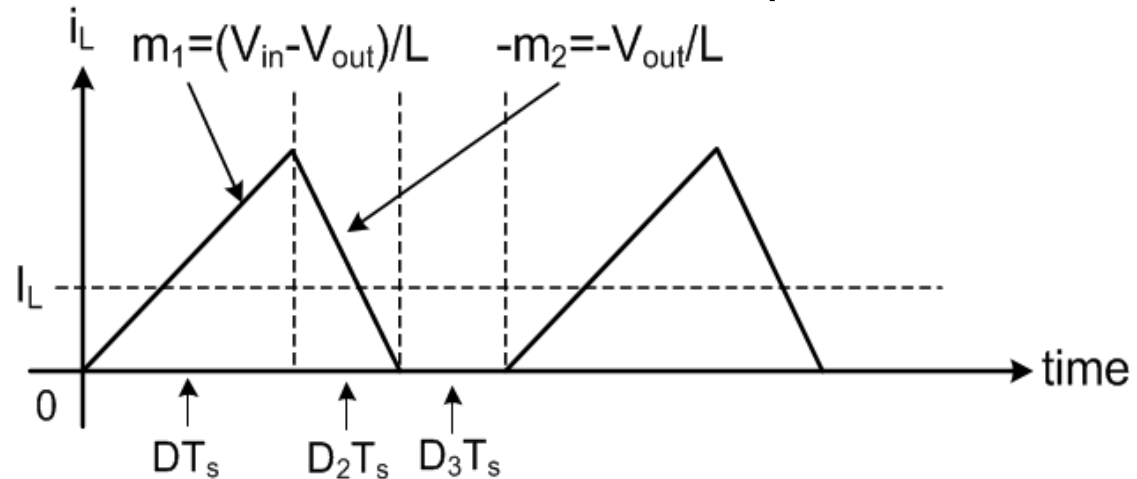
$$V_{in} D = -V_{out} (1 - D)$$

$$\frac{V_{out}}{V_{in}} = -\frac{D}{1 - D}$$

Discontinuous Conduction Mode

- When the output current keeps on decreasing, the inductor current would fall to zero and the converter operates in the **discontinuous conduction mode (DCM)** with a third state S_3 .

Buck converter example



S_1 : $nT_s < t < (n+D)T_s$, switch is on & I_L increasing

S_2 : $(n+D)T_s < t < (n+D+D_2)T_s$, switch is off & I_L decreasing

S_3 : $(n+D+D_2)T_s < t < (n+1)T_s$, both active and passive switches are off, and $I_L = 0$

Note that $D + D_2 + D_3 = 1$

- The volt-second balance becomes

$$m_1 D = m_2 D_2$$

Performance Requirements of SMPC Design

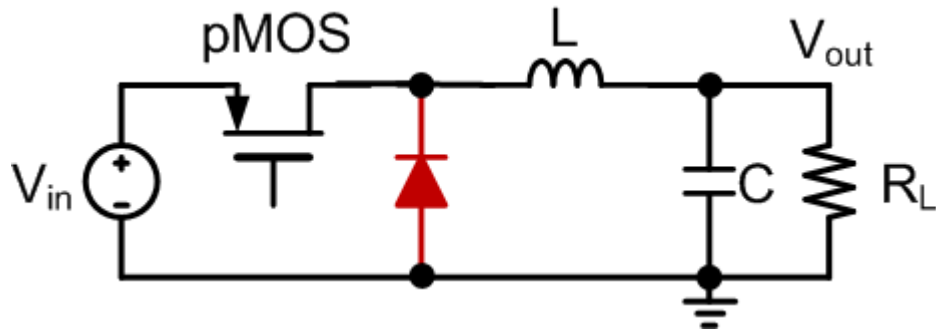
- High Power Conversion Efficiency
 - Minimize power losses in the power stage

- Small Size (High Power Density) and Low Cost
 - Minimize required values of reactive components and off-chip components in the power stage

- High Output Voltage Accuracy
 - Improve both line and load regulations
 - Minimize output voltage ripple relative to the DC output voltage

- Fast Transient Response
 - Improve the speed of the controller
 - Increase the slew rate of the inductor current

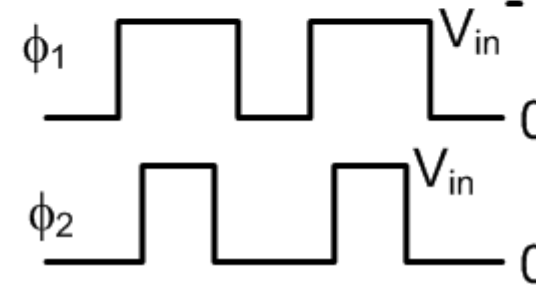
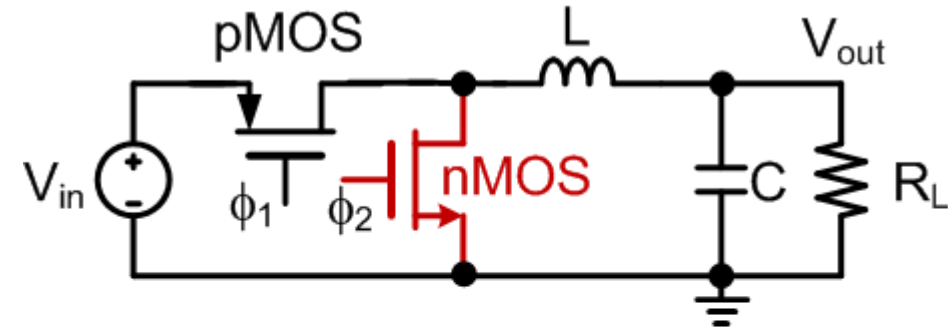
Asynchronous vs Synchronous Power Stage



- Diode provides a current path when the pMOS switch is off.
- Diode voltage drop leads to large conduction power loss, unsuitable for low output-voltage conditions.

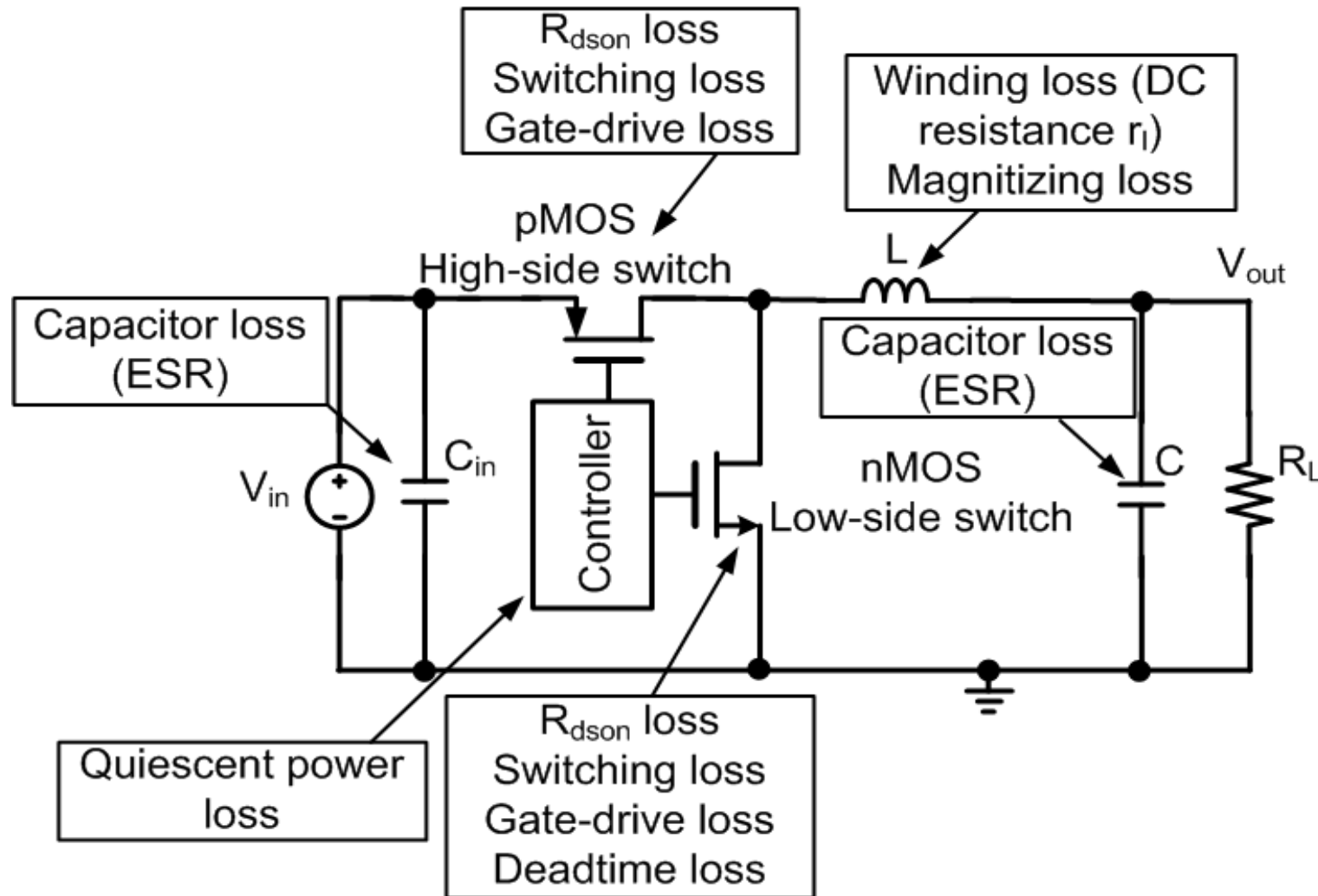
$$\eta_{\max} = \frac{V_{\text{out}}}{V_{\text{out}} + (1 - D)V_d}$$

- If $V_{\text{in}} = 3.6\text{V}$, $V_{\text{out}} = 1.5\text{V}$, $V_d = 0.7\text{V}$, then $\eta_{\max} = 78.6\%$!



- Power nMOS offers much smaller voltage drop than V_d .
- Dead-time is needed for gate driving signals of pMOS and nMOS to avoid short-circuit power loss.

Losses in Synchronous Buck Converters



Example on Conduction Loss Calculation

- Conduction loss is dominated by on-resistance of power FETs and DC resistance (DCR) of the inductor.

- Conduction loss of high-side (HS) power switch

$$\begin{aligned} P_{L\text{-HS}} &= I_{\text{HS-rms}}^2 \cdot R_{\text{dson-HS}} \\ &= I_{\text{L,rms}}^2 \cdot D \cdot R_{\text{dson-HS}} = \left(I_0^2 + \frac{\Delta i_{\text{L}}^2}{12} \right) \cdot D \cdot R_{\text{dson-HS}} \end{aligned}$$

- Conduction loss of low-side (LS) power switch

$$\begin{aligned} P_{L\text{-LS}} &= I_{\text{LS-rms}}^2 \cdot R_{\text{dson-LS}} \\ &= I_{\text{L,rms}}^2 \cdot (1 - D) \cdot R_{\text{dson-LS}} = \left(I_0^2 + \frac{\Delta i_{\text{L}}^2}{12} \right) \cdot (1 - D) \cdot R_{\text{dson-LS}} \end{aligned}$$

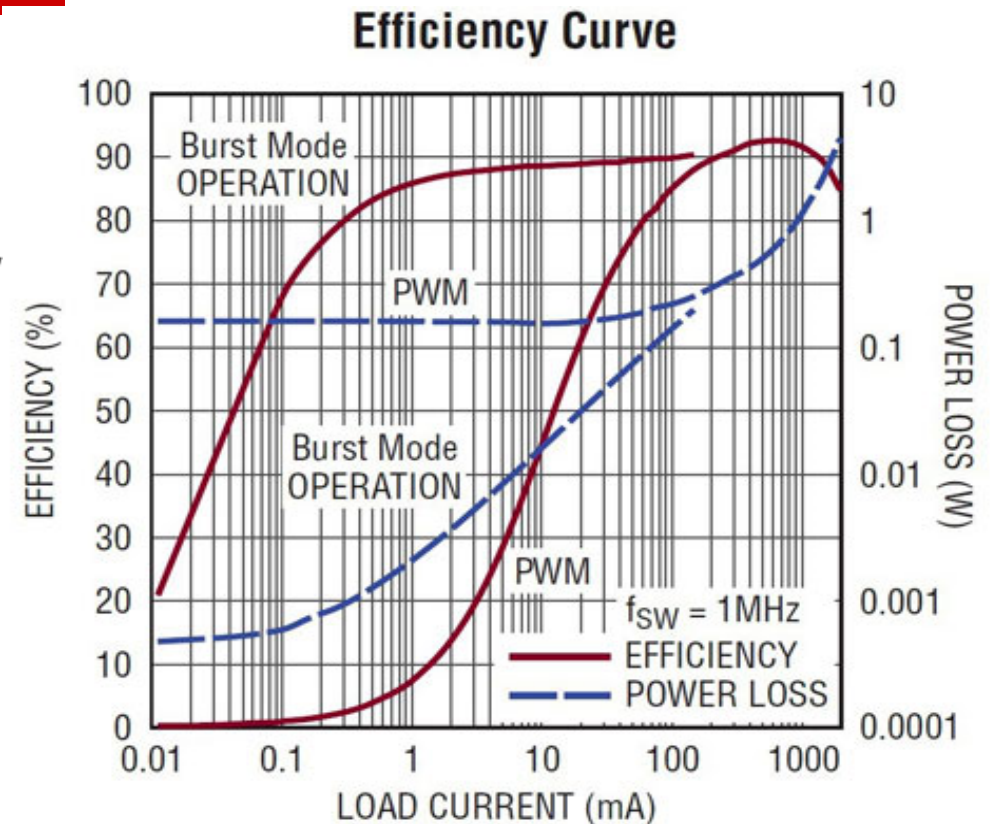
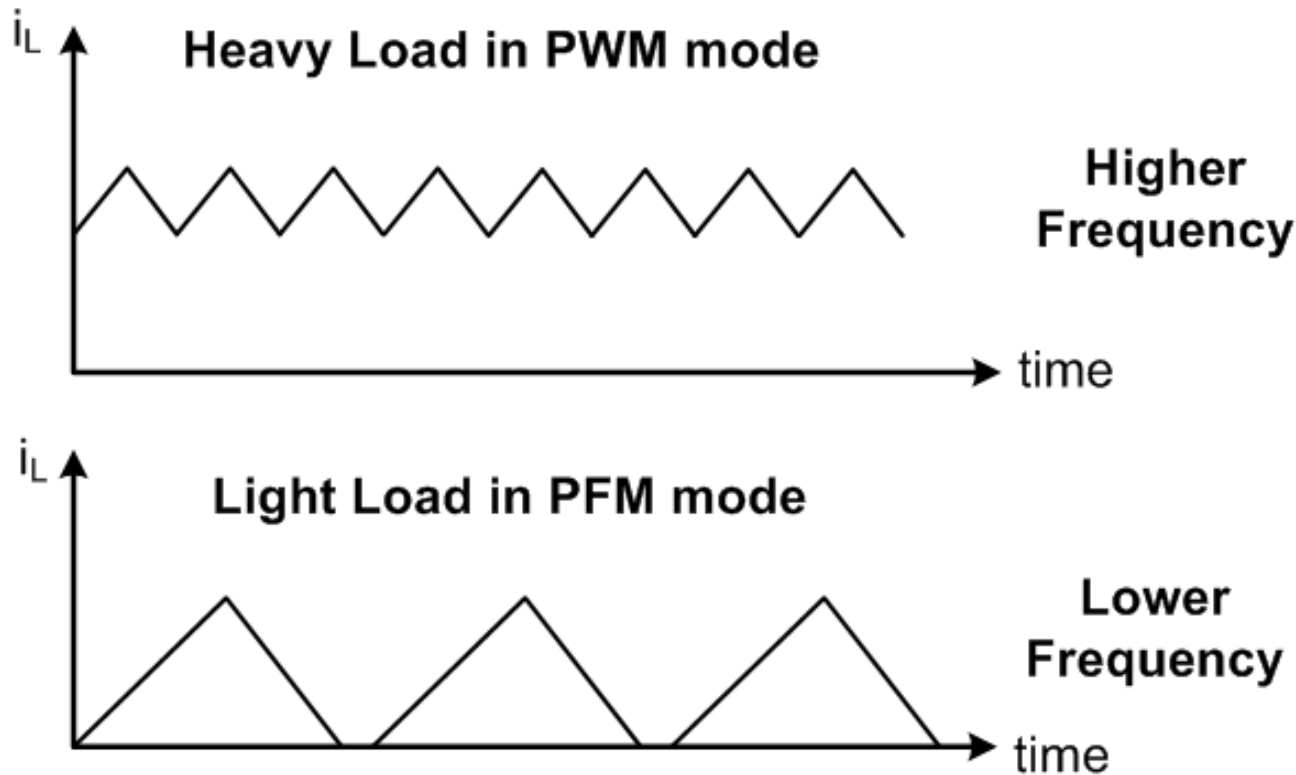
- Conduction loss of inductor DCR

$$P_{\text{L}} = I_{\text{L,rms}}^2 \cdot r_{\text{l}} = \left(I_0^2 + \frac{\Delta i_{\text{L}}^2}{12} \right) \cdot r_{\text{l}}$$

Loss Summary of Synchronous Buck Converter

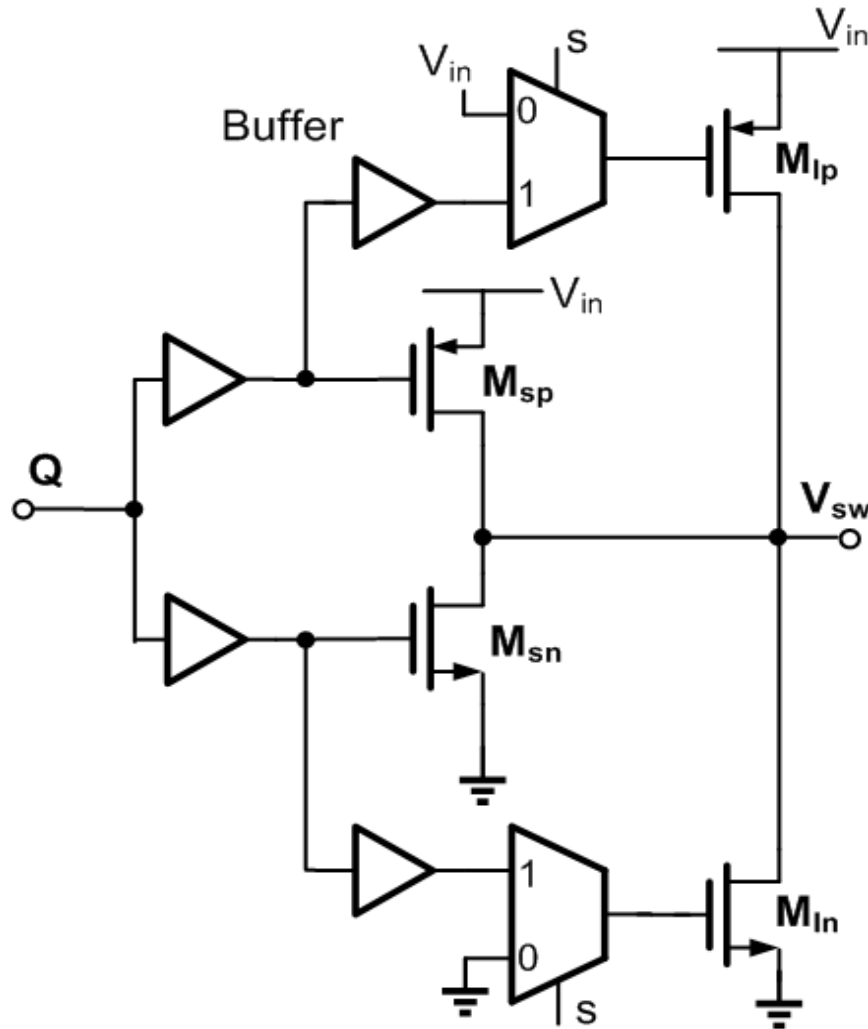
Conduction Losses	Power FET on-resistance R_{dson}	$(I_o^2 + \frac{\Delta i_L^2}{12})(R_{dson-HS} \cdot D + R_{dson-LS} \cdot (1 - D))$
	Inductor Winding Resistance	$(I_o^2 + \frac{\Delta i_L^2}{12})(r_l)$
Dynamic Losses	Power FET Gate Drive Losses	$f_s \cdot V_{in}(C_{g-HS} \cdot V_{g-HS} + C_{g-LS} \cdot V_{g-LS})$
	Capacitive Switching Loss	$\sim 0.5C_x V_{in}^2 f_s$
Static Loss	Converter quiescent current	$I_Q \cdot V_{in}$

Efficiency Enhancement: PWM-PFM Control



- Dynamic losses (switching and gate-drive losses) become dominant in the light-load condition.
- Reducing switching activities of power transistors in light loads improves converter light-load power efficiency.

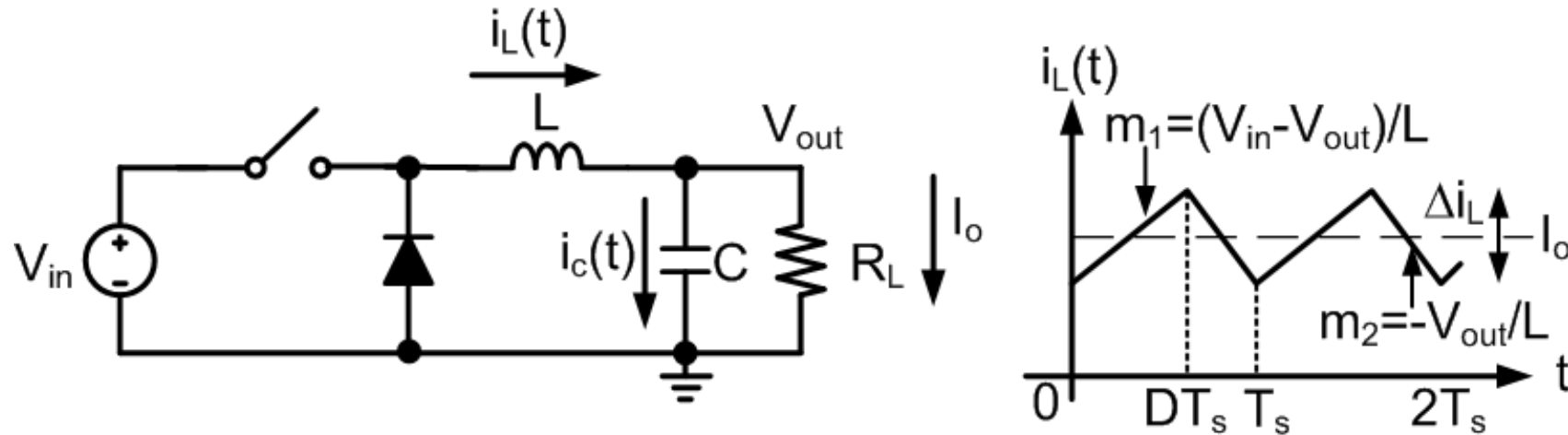
Efficiency Enhancement: Width Switching



- Only smaller-size power transistors (M_{sp} , M_{sn}) are used to reduce the gate drive loss at the light load condition.
- All power transistors (M_{sp} , M_{sn} , M_{lp} , M_{ln}) are used for the full load condition.
- Potential cross conduction of large and small power transistors may occur during switching if buffers are not designed properly.

D. Park et. al., TCAS-II, Aug. 2014, pp. 599-603.

Buck Converter: Inductance Selection



- For CCM operation,

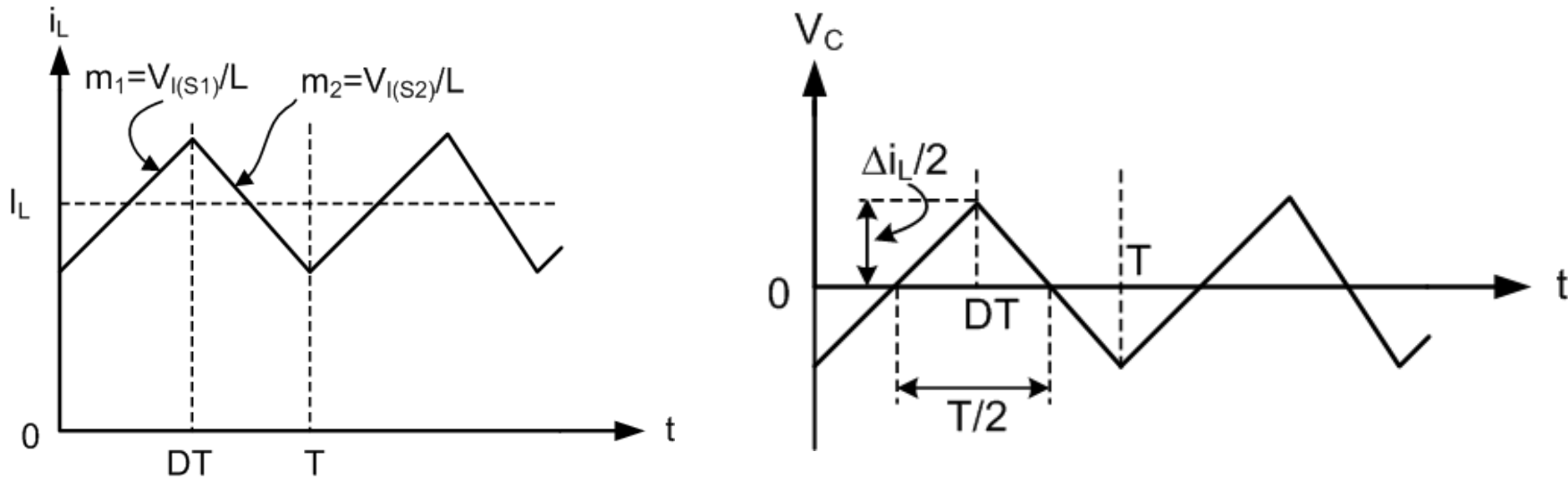
$$L = \frac{(V_{in} - V_{out}) \cdot D}{\Delta i_L f_s} = \frac{(V_{in} - V_{out}) \cdot V_{out}}{V_{in} \cdot \Delta i_L \cdot f_s} = \frac{(V_{in} - V_{out}) \cdot V_{out}}{V_{in} \cdot r \cdot I_{o,max} \cdot f_s}$$

where r is the current ripple ratio of 0.25 – 0.5

$I_{o,max}$: maximum output current

- For given V_{in} and V_{out} , the inductance is determined by the inductor current ripple and switching frequency f_s . Higher switching frequency f_s reduces the required L .

Buck Converter: Output Capacitor Selection



- The output capacitance C is determined by the output ripple and switching frequency

$$C = \frac{0.5 \cdot (\Delta i_L / 2) (T / 2)}{\Delta V_{out}}$$

$$= \frac{(V_{in} - V_{out}) \cdot D}{8L \Delta V_{out} f_s^2}, \quad \Delta V_{out} : \text{output ripple voltage}$$

- Higher switching frequency reduces the required value of C for a given ΔV_{out} .

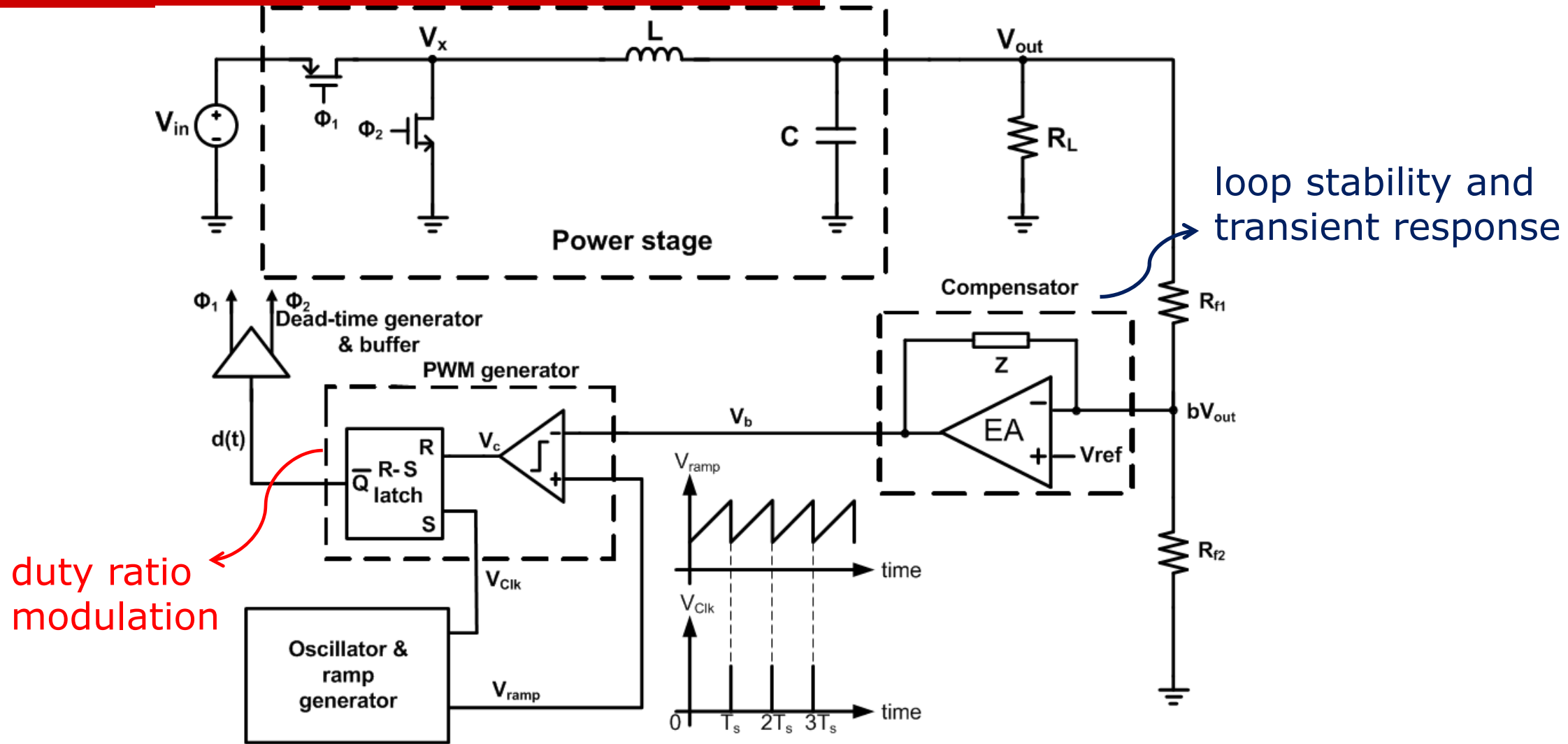
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- SMPC Basics and Design Considerations

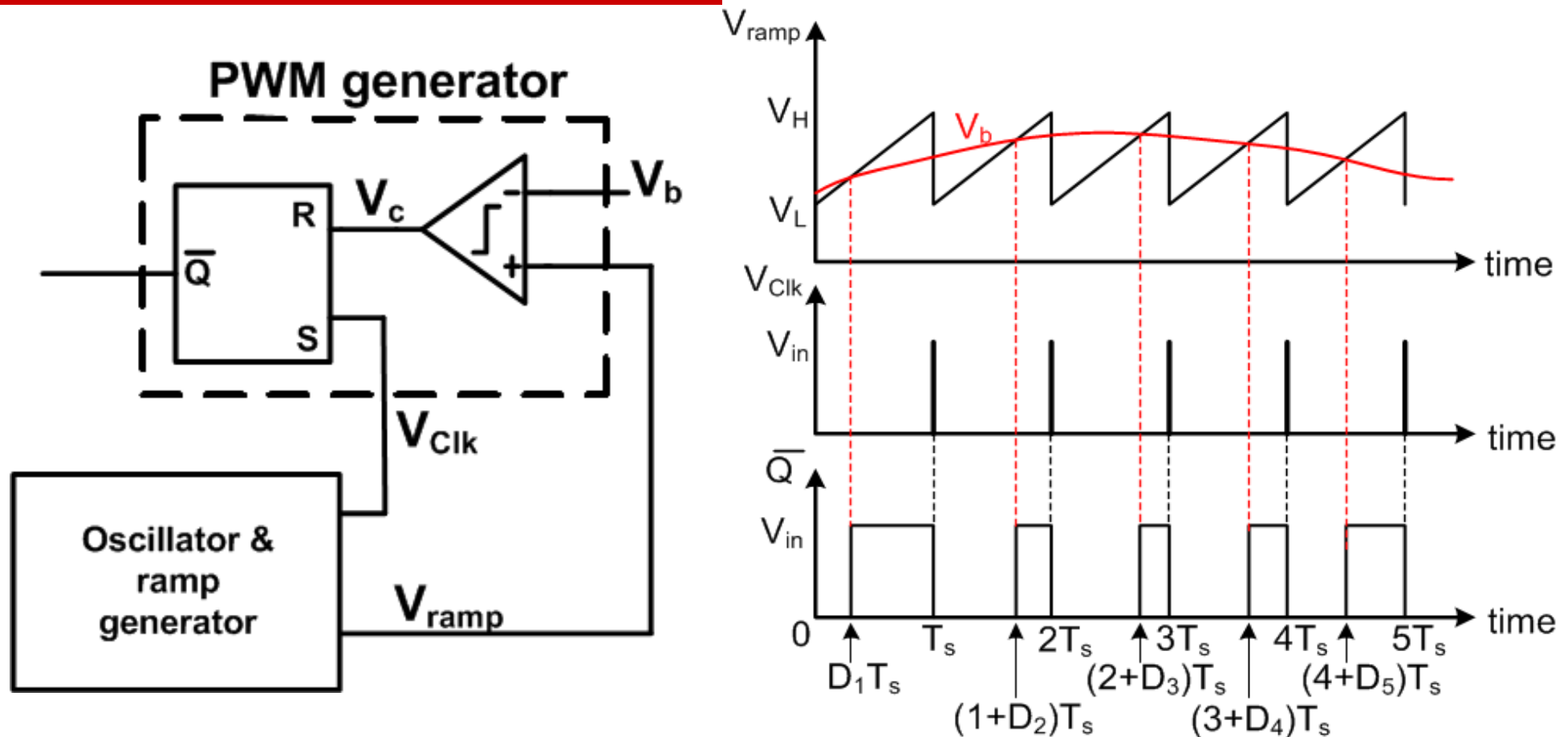
- Control Methodologies and Design Issues
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 - Current-mode PWM and compensator design
 - Current sensor designs
 - Gate driver designs
 - Hysteretic control

- Advanced Topic
 - Three-Level DC-DC Converter

PWM – Voltage-Mode Control (VMC)



VMC – PWM Generator



- Duty ratio (D) is defined by the crossing of compensator output V_b and the fixed ramp signal V_{ramp} : larger V_b leads to larger D .

VMC – Loop Gain T(s) (1)

□ Loop gain T(s)

$$T(s) = \frac{R_{f2}}{R_{f1} + R_{f2}} \cdot A(s) \cdot \underbrace{\frac{\Delta D}{\Delta V_b} \cdot \frac{\Delta V_x}{\Delta D} \cdot \frac{\Delta V_o}{\Delta V_x}}_{C(s)}$$

$$\frac{\Delta D}{\Delta V_b} = \frac{1}{V_M}$$

$$\frac{\Delta V_x}{\Delta D} = V_{in}$$

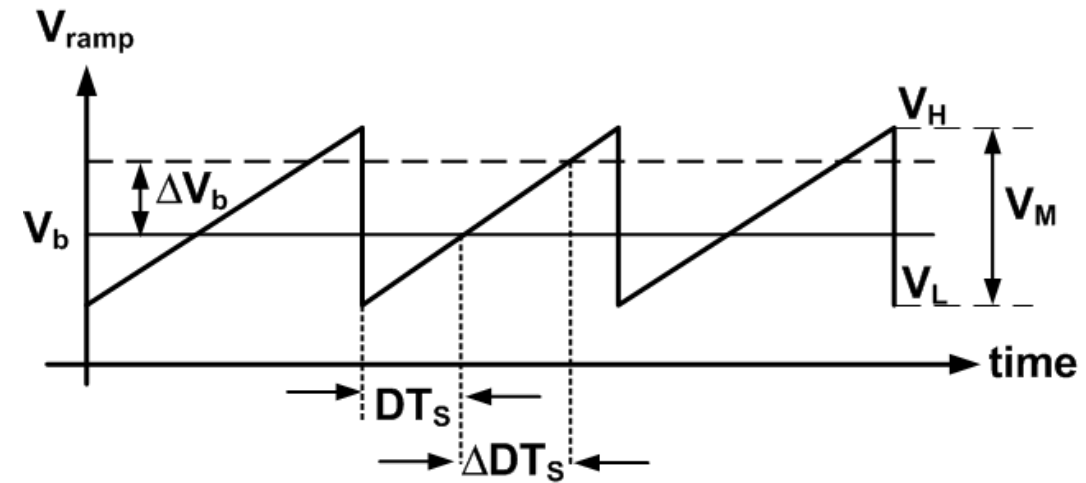
$$\frac{\Delta V_o}{\Delta V_x} = \frac{1 + \frac{s}{\omega_z}}{1 + \frac{s}{Q\omega_o} + \frac{s^2}{\omega_o^2}} \quad (2^{\text{nd}} \text{ order LC filter})$$

$$\text{where } \omega_z = \frac{1}{CR_{ec}}, \quad \omega_o = \sqrt{\frac{1}{LC}}, \quad Q = R_L \sqrt{\frac{C}{L}}$$

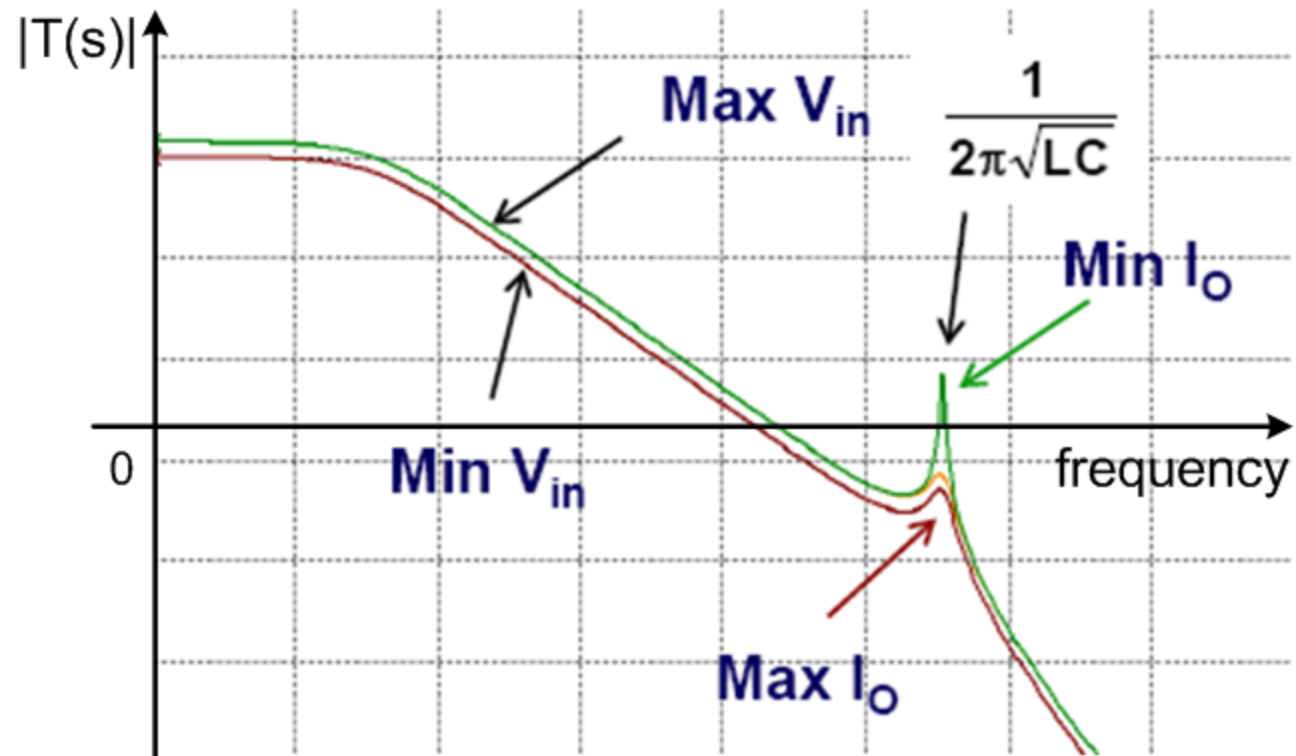
A(s): Compensator transfer function

C(s): Control-to-output transfer function

PWM generator transfer function ($\Delta D/\Delta V_b$)

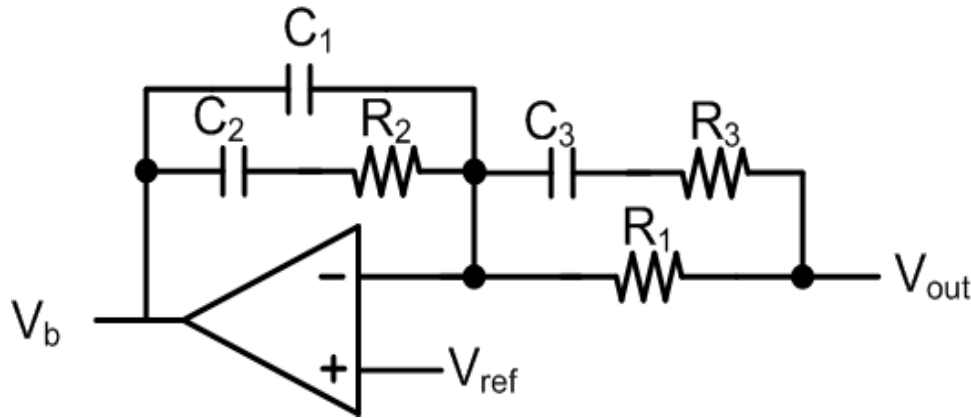


VMC – Traditional Dominant Pole Compensation

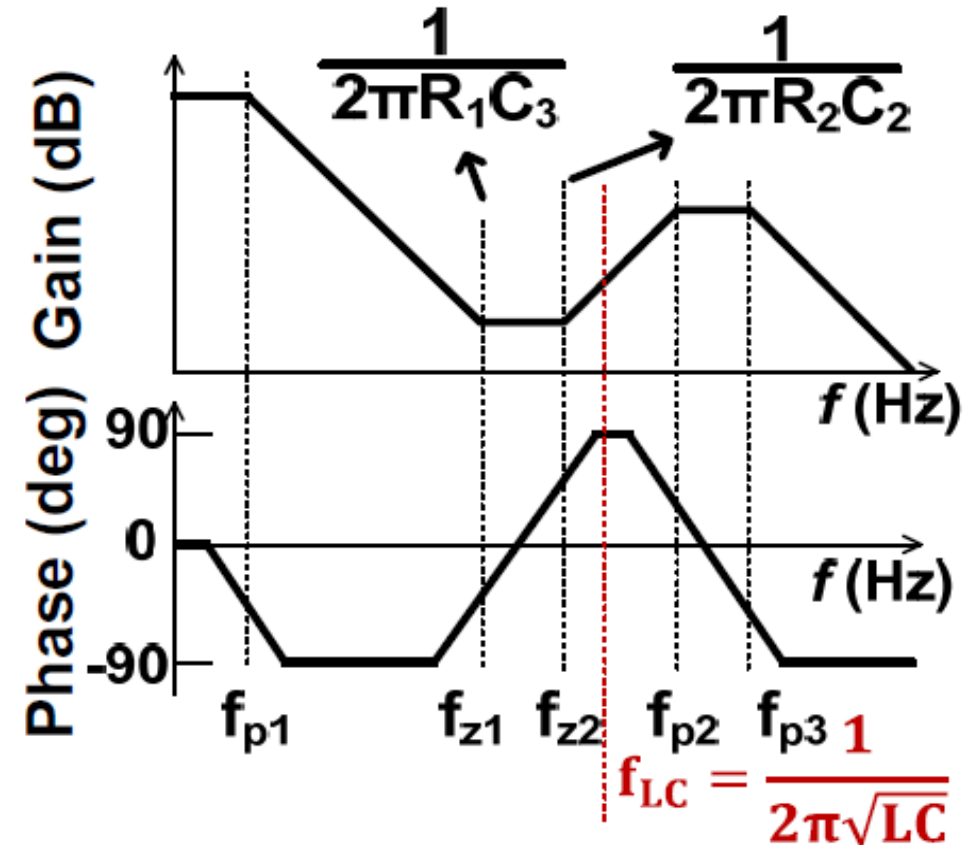


- High Q factor of the complex poles limits the unity-gain frequency of $T(s)$, thereby degrading the converter transient response.
- Worst-case stability (minimum gain margin) occurs at the maximum V_{in} and the minimum I_o due to largest Q value of the complex poles.

VMC – Type III Compensator (1)

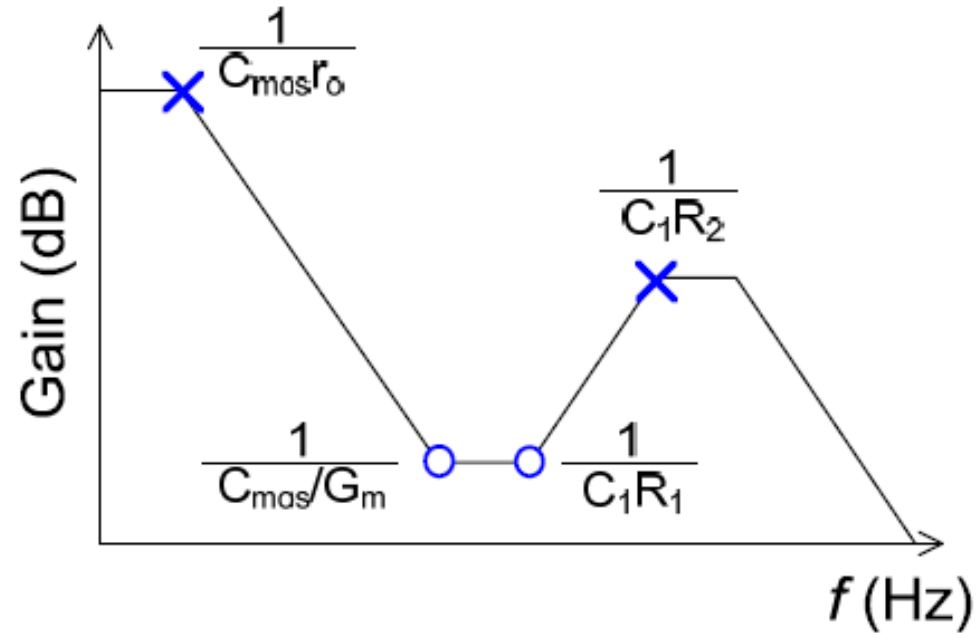
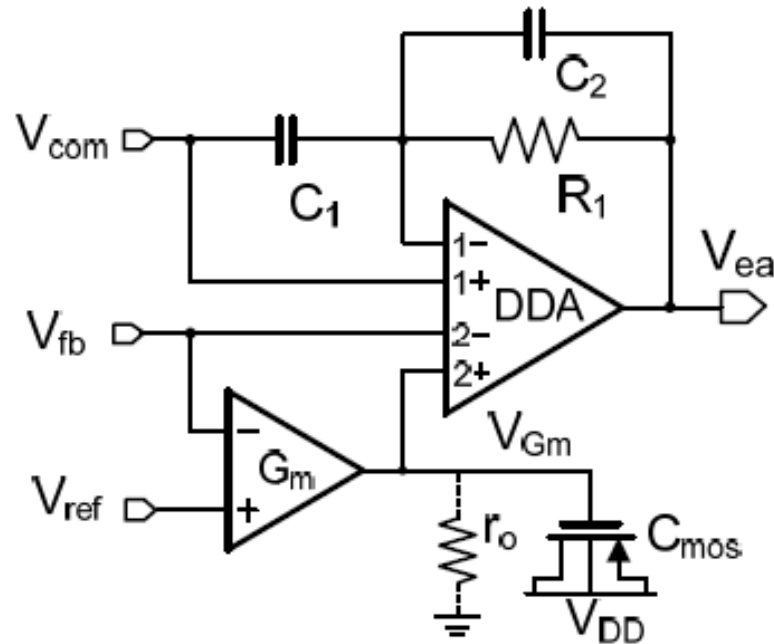


$$A(s) = \frac{R_1 + R_3}{R_1 R_3 C_1} \cdot \frac{(s + \frac{1}{R_2 C_2})(s + \frac{1}{(R_1 + R_3) C_3})}{s(s + \frac{C_1 + C_2}{R_2 C_1 C_2})(s + \frac{1}{R_3 C_3})}$$



- 2 LHP zeros are generated in $A(s)$ to compensate for the negative phase shift of the complex poles in $C(s)$.
- This compensator however requires large area for passive components.

VMC – Type III Compensator (2)

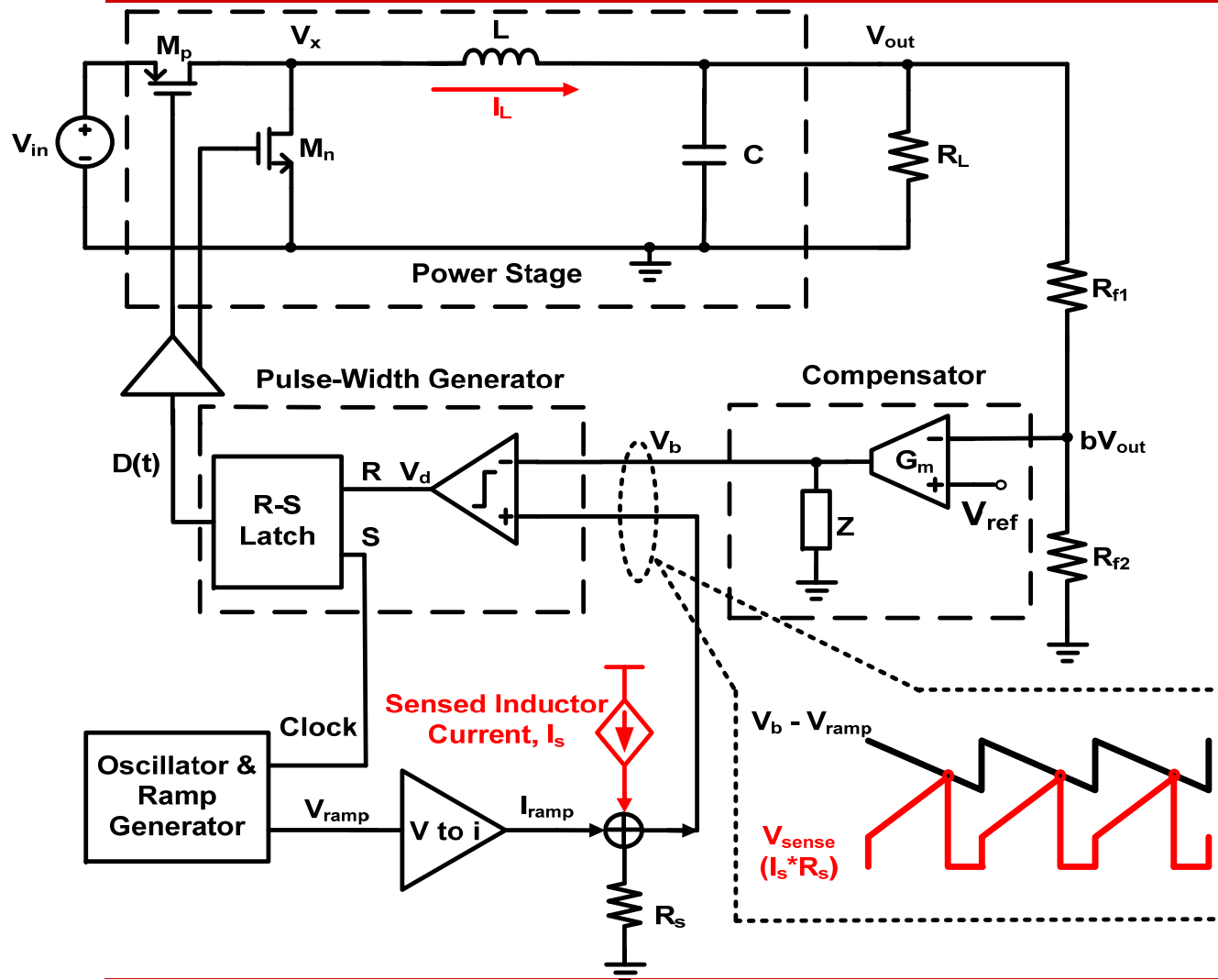


$$A(s) = (1 + G_m r_o) \frac{(1 + sC_{mos}/G_m)(1 + sC_1 R_1)}{(1 + sC_{mos} r_o)(1 + sC_2 R_1)}$$

- Differential difference amplifier is used to realize the Type-III compensator for reducing the required number of passive components.

L. Chen et. al., ISSCC, Feb. 2014, pp. 84-85.

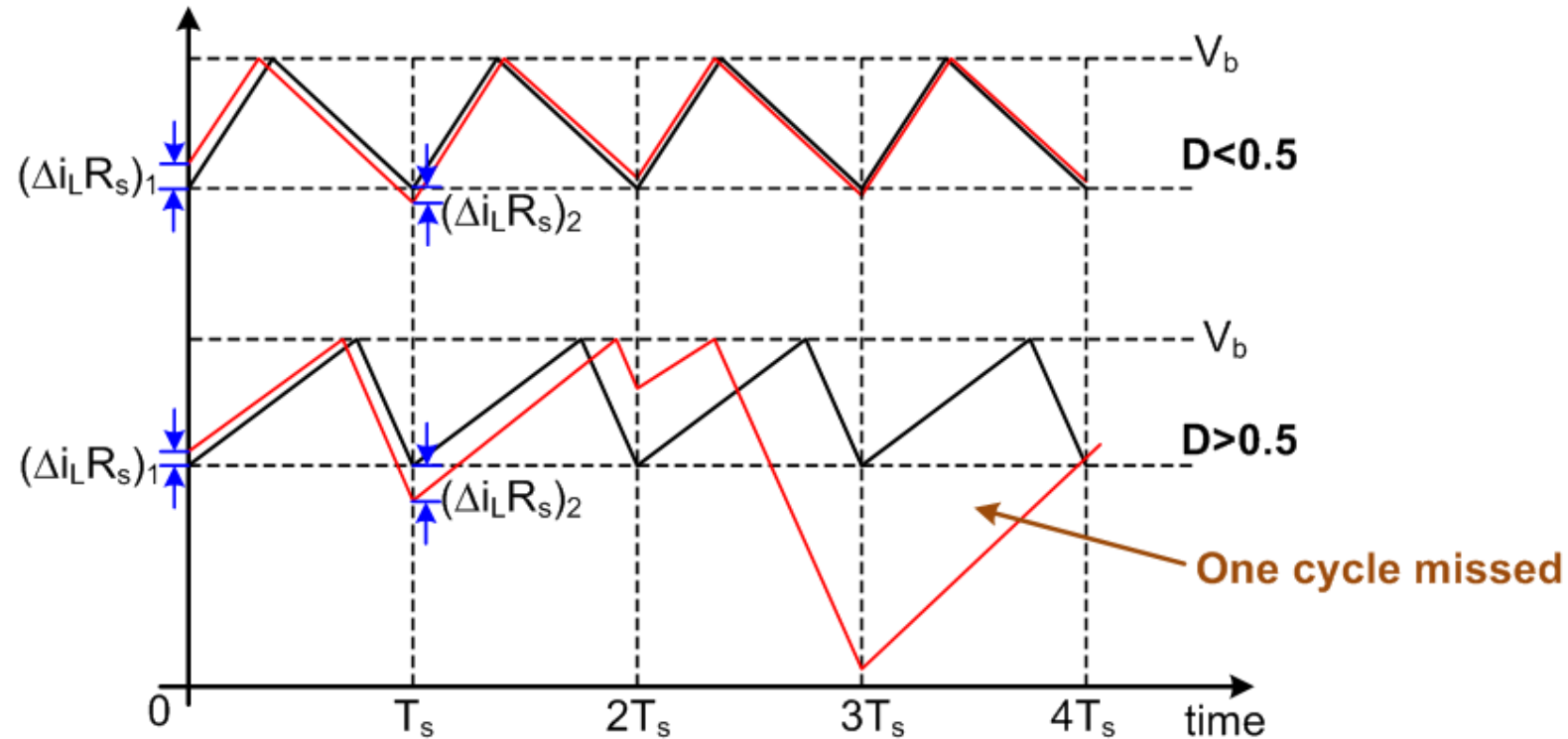
PWM – Current-Mode Control (CMC)



- ❑ Both inductor current and output voltage information are used.
- ❑ The converter has inherent pulse-by-pulse current limiting and soft start.
- ❑ Sensed inductor current is used as a ramp to define D by replacing the fixed voltage ramp.

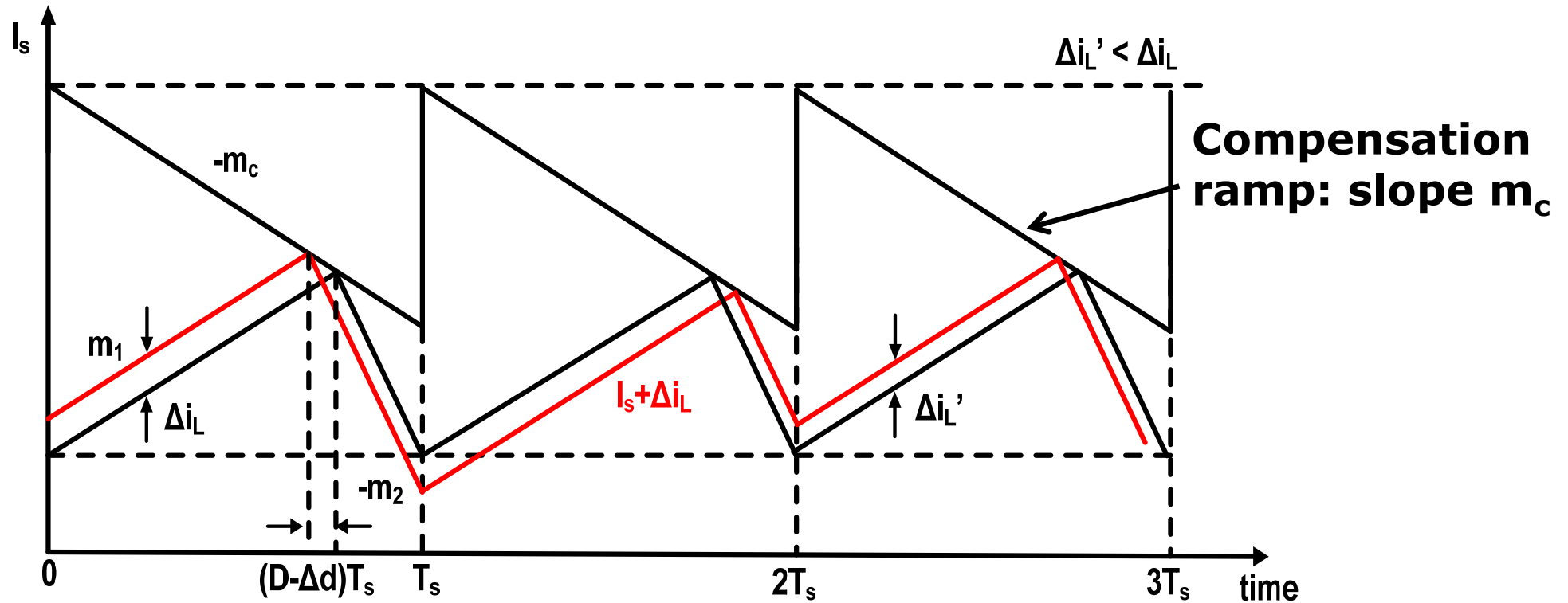
C. F. Lee et. al., JSSC, Jan. 2004, pp. 3-14.

CMC – Sub-Harmonic Oscillations (1)



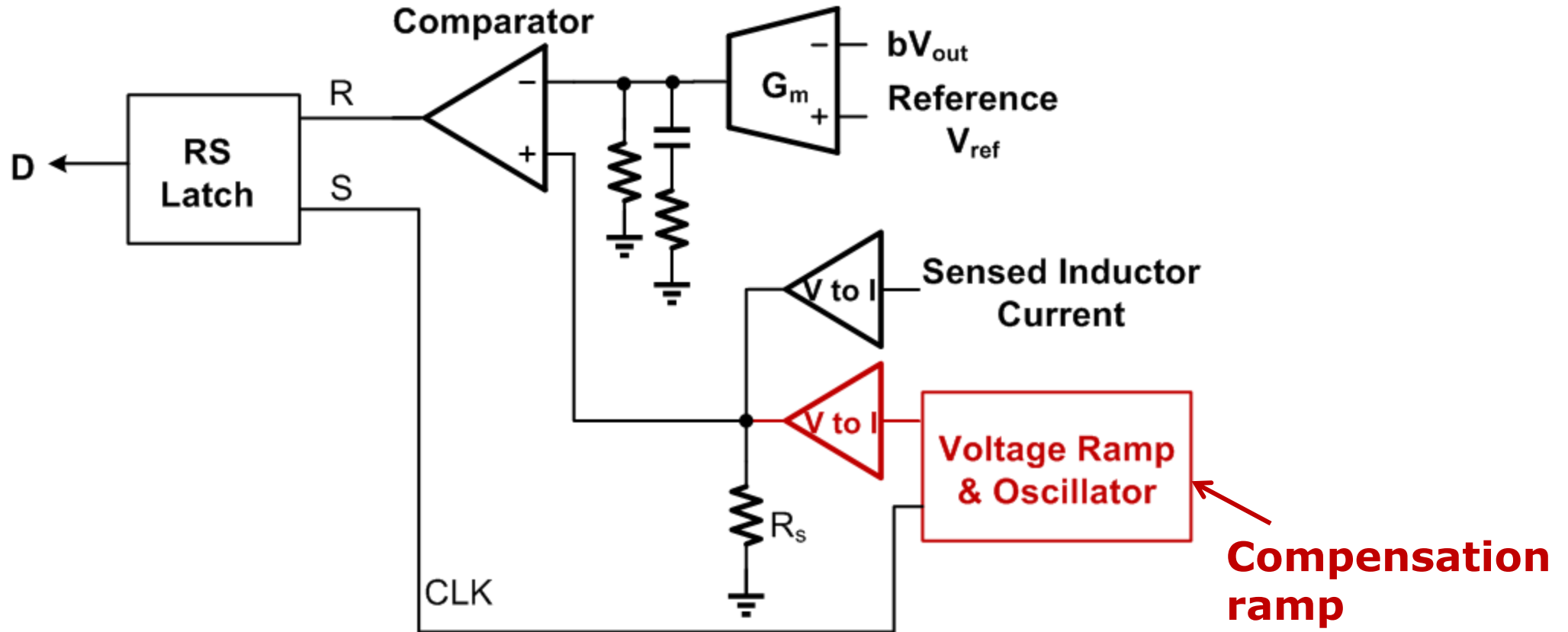
- ❑ Current-mode converters will undergo sub-harmonic oscillation (at $f_s/2$, $f_s/4$, etc.) whenever $D > 0.5$.
- ❑ For $D < 0.5$, $m_1 > m_2$, the perturbation decreases with $(\Delta i_L R_f)_2 < (\Delta i_L R_f)_1$.
- ❑ For $D > 0.5$, $m_1 < m_2$, the perturbation increases with $(\Delta i_L R_f)_2 > (\Delta i_L R_f)_1$.

CMC – Sub-Harmonic Oscillations (2)



- A compensation ramp with slope $m_c \geq m_{2,max}/2 = V_{out,max}/2L$, can suppress the oscillation under $D > 0.5$, where m_2 is the slope of the I_L during $(1-D)T_s$.
- The compensation ramp can be realized by the voltage ramp generator.

Compensation Ramp in Current-Mode Controller



- The compensation ramp with slope m_c is added together with the sensed inductor current signal at the input of PWM generator.

CMC – Loop Gain T(s)

$$T(s) = \frac{R_{f2}}{R_{f1} + R_{f2}} A(s) \frac{R_L // R_a}{R_s} \frac{1 + sR_{ec}C}{(1 + s / \omega_a)(1 + s / \omega_{t1})}$$

R_{ec} : ESR of output capacitor

$$R_a = \frac{L}{[(1 - D)(1 + \frac{m_c}{m_1}) - D]T_s}$$

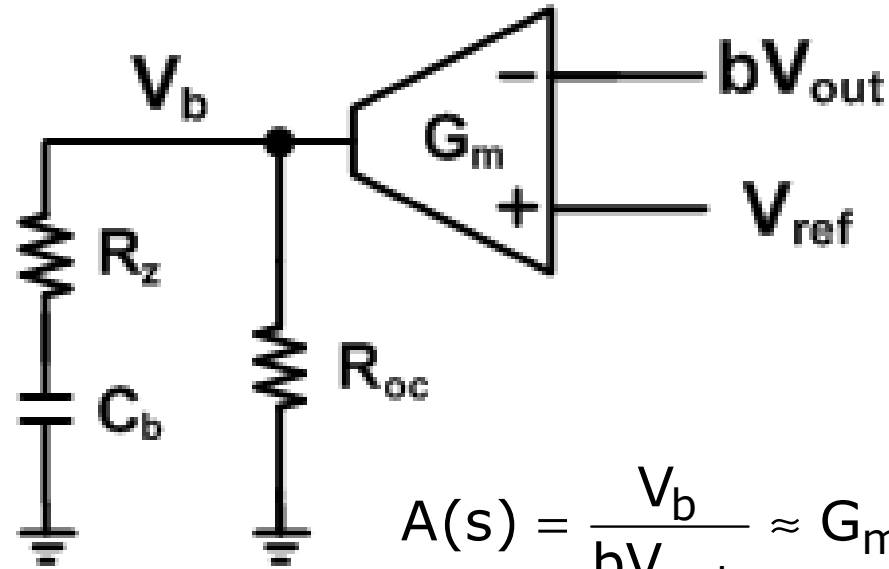
$A(s)$: transfer function of the compensator

$$\omega_a = \frac{1}{C(R // R_a)} = p_1$$

$$\omega_{t1} = \frac{1}{(1 - D)(1 + \frac{m_c}{m_1})T_s} = p_2$$

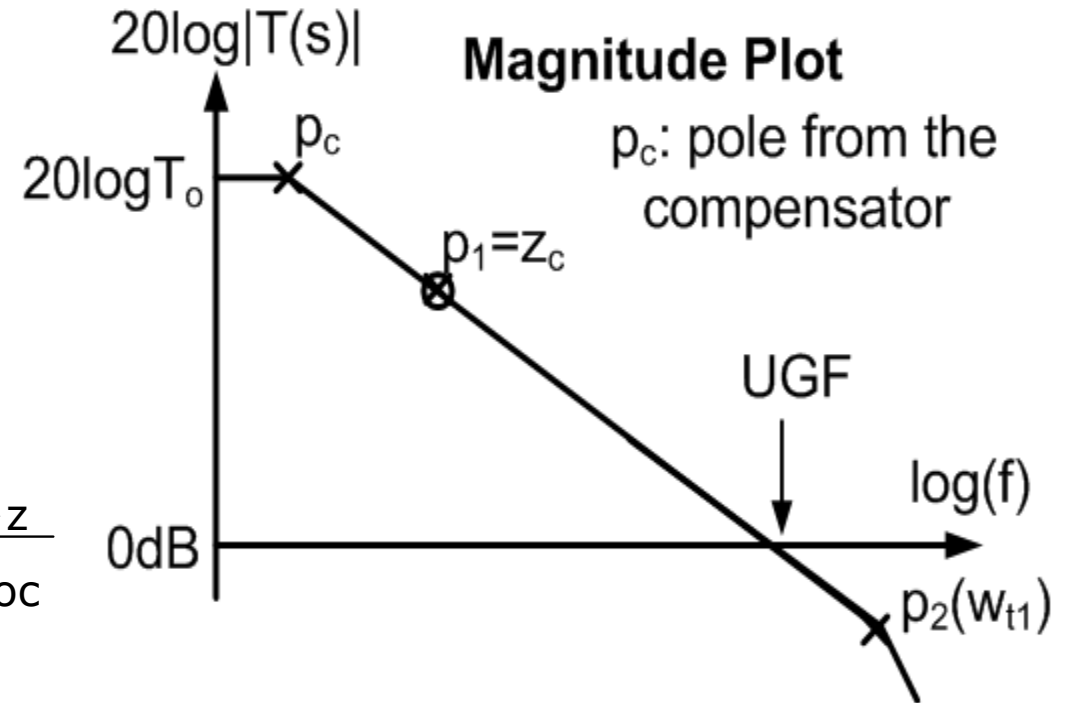
- Two separate poles ω_a and ω_{t1} in $T(s)$ are easier to compensate compared to the complex poles in the voltage-mode counterpart.

CMC – Compensator Design



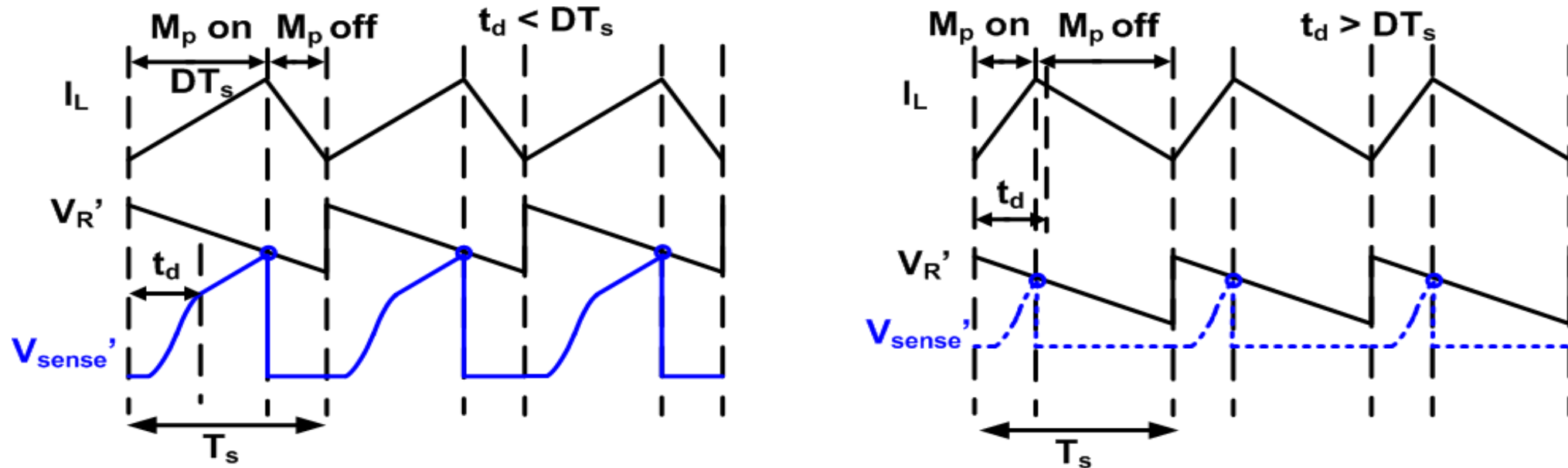
$$A(s) = \frac{V_b}{bV_{out}} \approx G_m R_{oc} \frac{1 + sC_b R_z}{1 + sC_b R_{oc}}$$

for $R_{oc} \gg R_z$



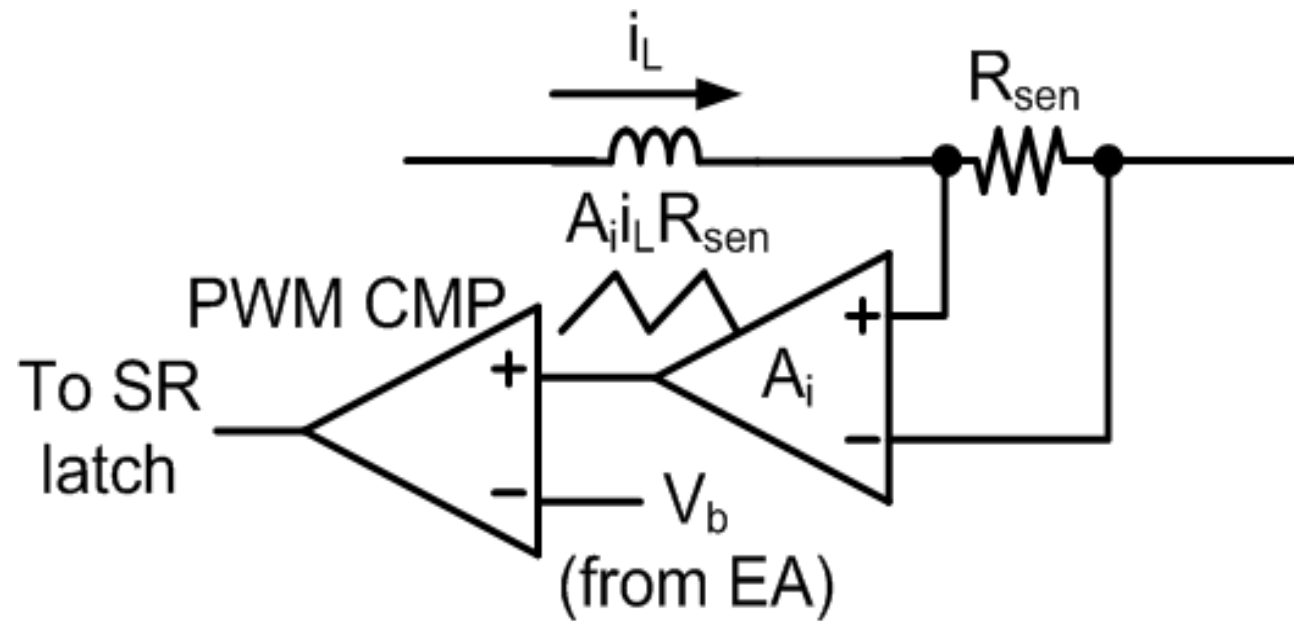
- ❑ Compensator LHP zero (z_c) cancels negative phase shift of p_1 (ω_a) in $T(s)$ by positioning z_c at p_1 .
- ❑ Dominant pole p_c of $T(s)$ is given as $1/R_{oc}C_b$ from the compensator.
- ❑ UGF of $T(s)$ is smaller than ω_{t1} and can reach 4 or 5 times less than f_s .

Inductor Current Sensing



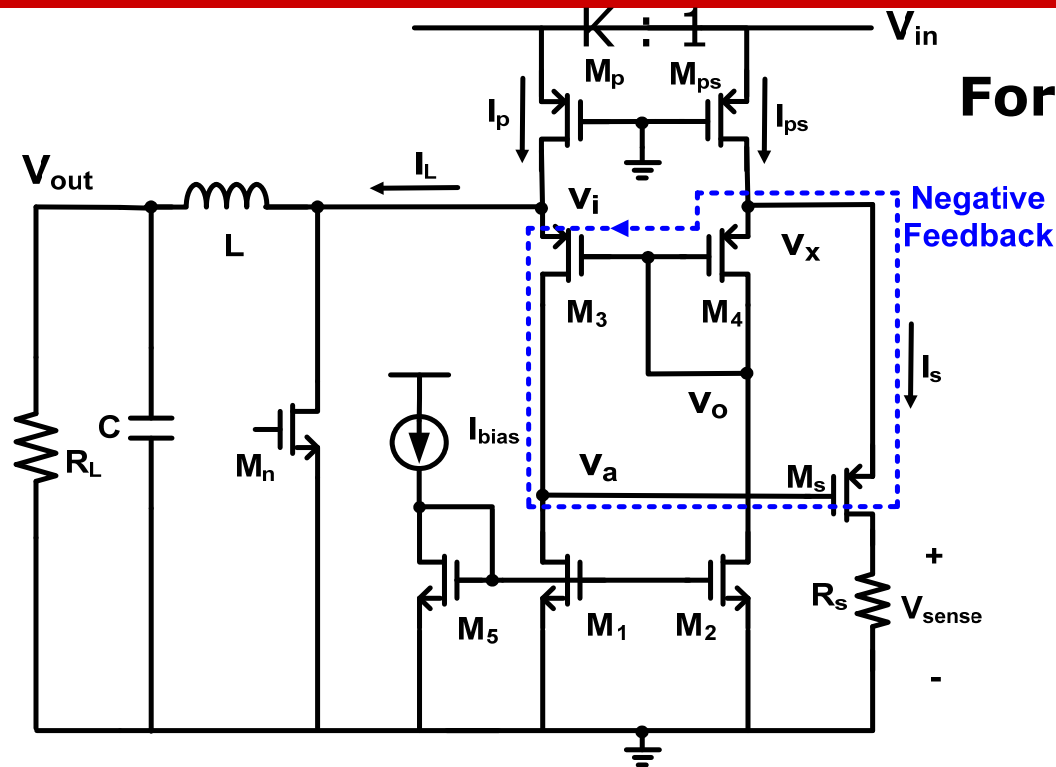
- ❑ Speed of inductor current sensing can limit the maximum switching frequency ($f_{s,max}$) and duty ratio range of the converter.
- ❑ CMC converter can function properly if the delay (t_d) of the current sensor is smaller than $D_{min} * 1/f_{s,max}$.
- ❑ Power dissipation of the current sensor should be minimized.

Sensing Resistor



- Sensing resistor R_{sen} in series with the inductor to provide entire inductor profile.
- If R_{sen} is too small, inductor current cannot be detected in light-load condition.
- If R_{sen} is too large (e.g. 500m Ω), conduction loss of R_{sen} might significantly increase the total power loss.
 - If $i_{L,rms} = 1A$, the loss due to $R_{sen} = 500mW$ that is not suitable for low-power applications.

Switch Current Sensing for HS Power pMOS



For peak current control in Buck converters

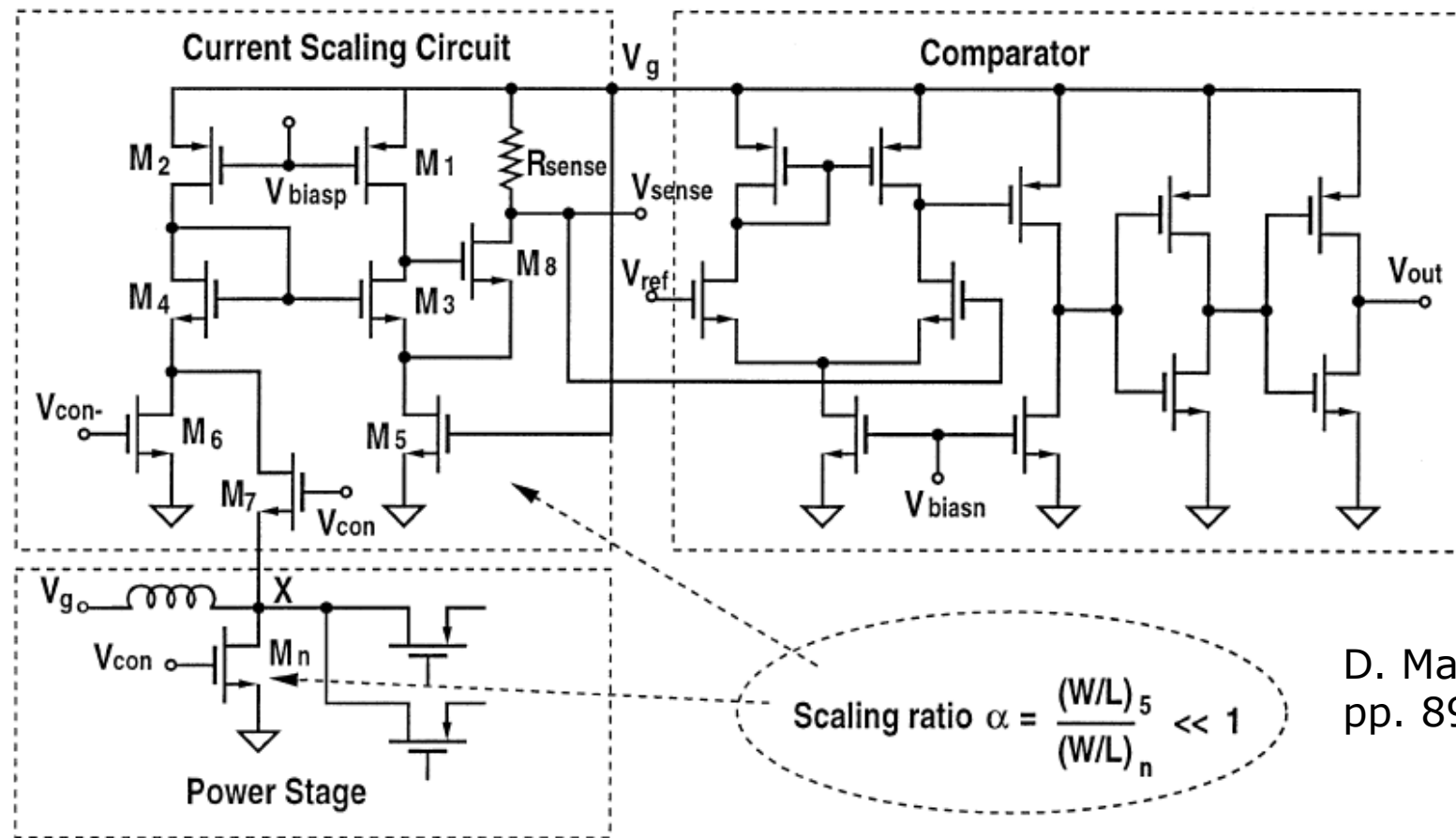
Operation in state DT_s

$$V_{\text{sense}} = I_s \cdot R_s \approx \frac{I_p}{K} \cdot R_s = \frac{I_L}{K} \cdot R_s$$

$K \gg 1$ and is commonly selected as 1000

- ❑ Sensing transistor M_{ps} tracks current through high-side power FET M_p during state 1.
- ❑ Since $I_s \ll I_L$, the switch current sensing is “lossless” compared to the load current.
- ❑ The speed of the current sensing is typically limited by the non-dominant pole of the negative feedback loop.

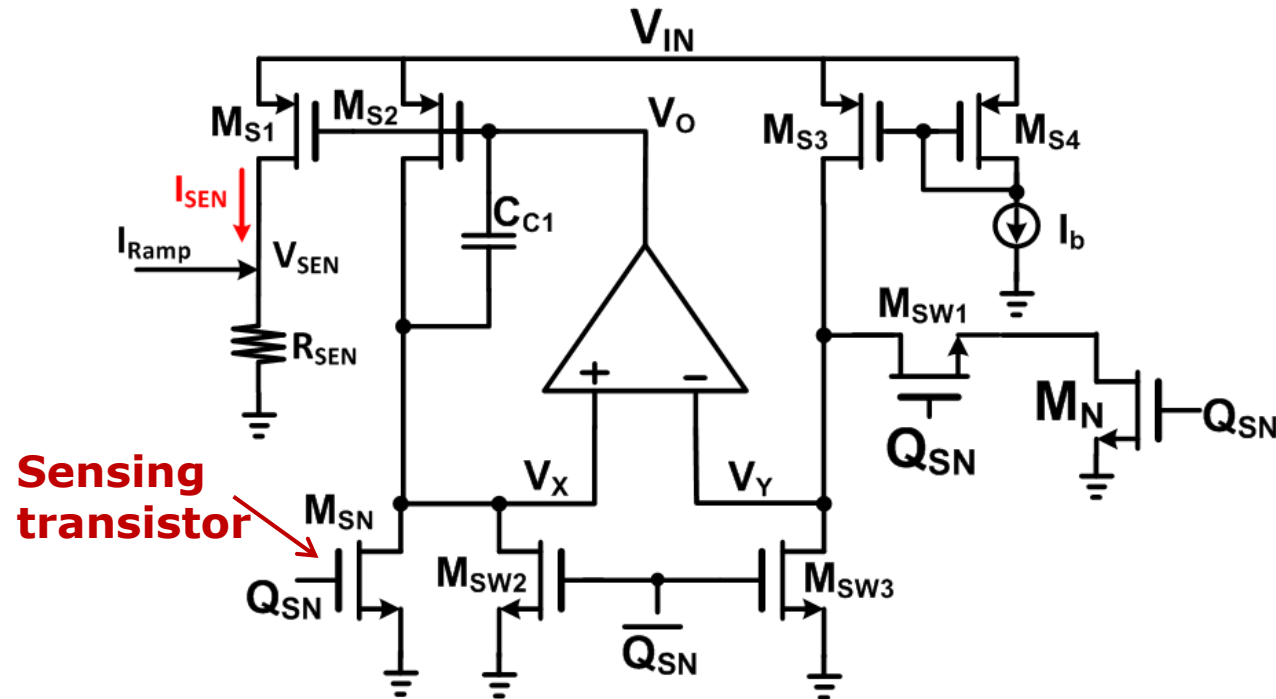
Switch Current Sensing for LS Power nMOS



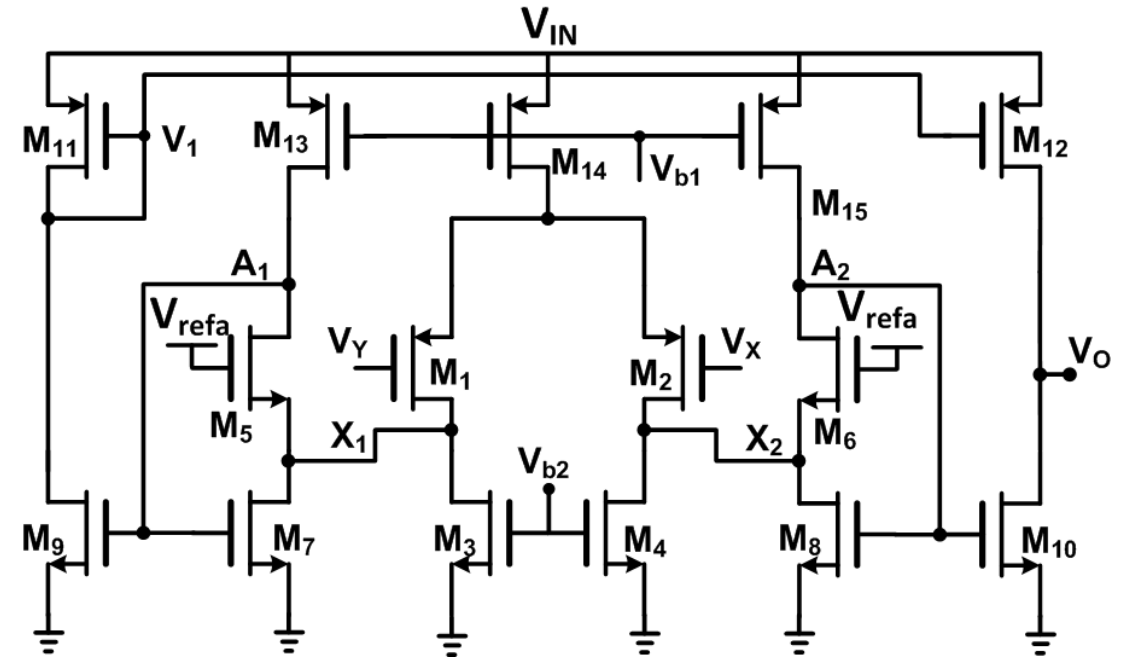
D. Ma et. al., JSSC, Jan. 2003, pp. 89-100.

- Low-side sensing: sensing transistor M_5 tracks current through low-side power nFET M_n during state 1 for the peak current control in Boost converters.

Low-Voltage Switch Current Sensing



Low-voltage current sensor for Boost converters

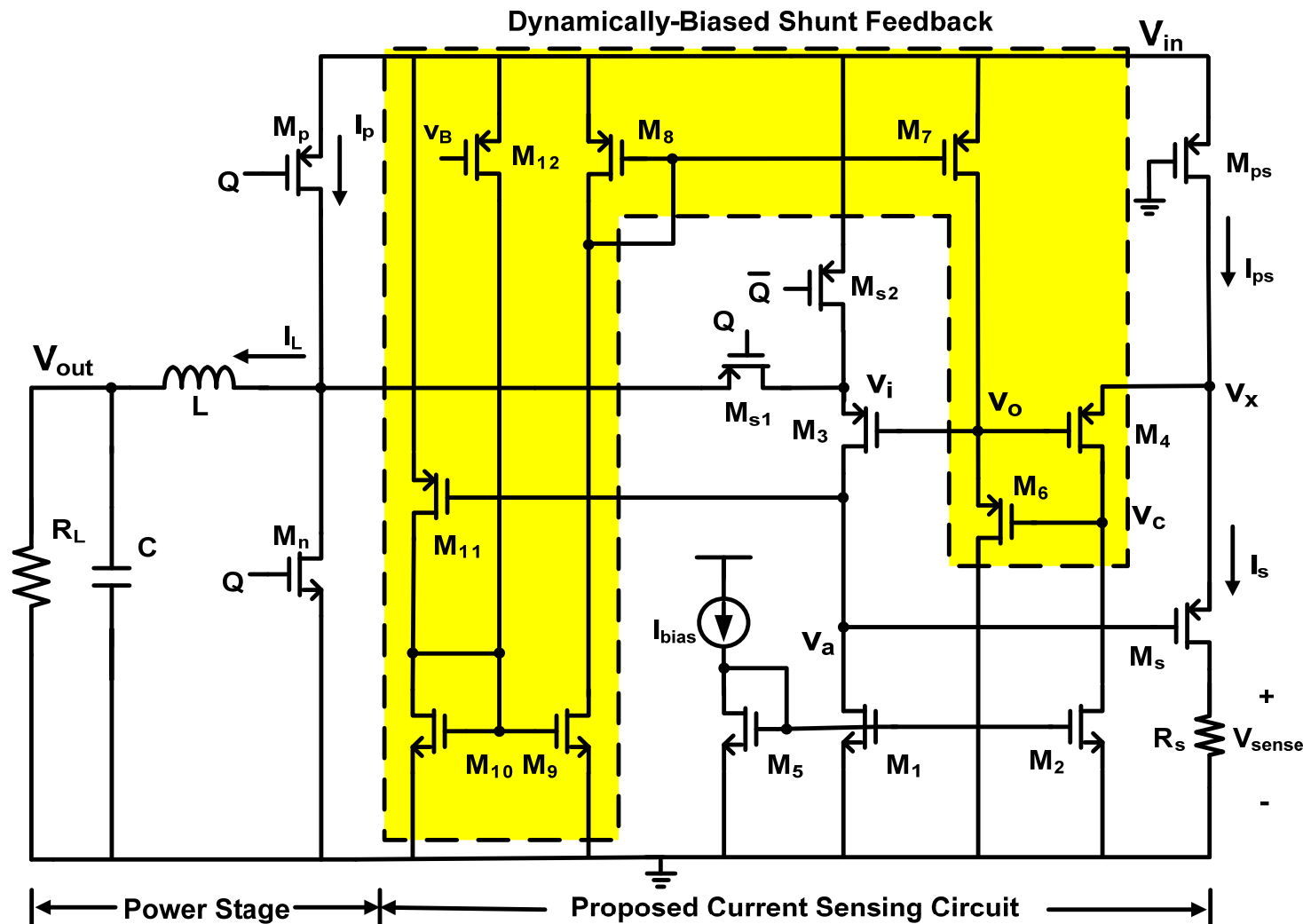


LV error amplifier

- Low-voltage low-side current sensor relies on using a low-voltage error amplifier for obtaining scaled-down sensed current through power nFET M_N .

D. Park et. al., TCAS-II, Aug. 2014, pp. 599-603.

High-Speed Switch Current Sensing

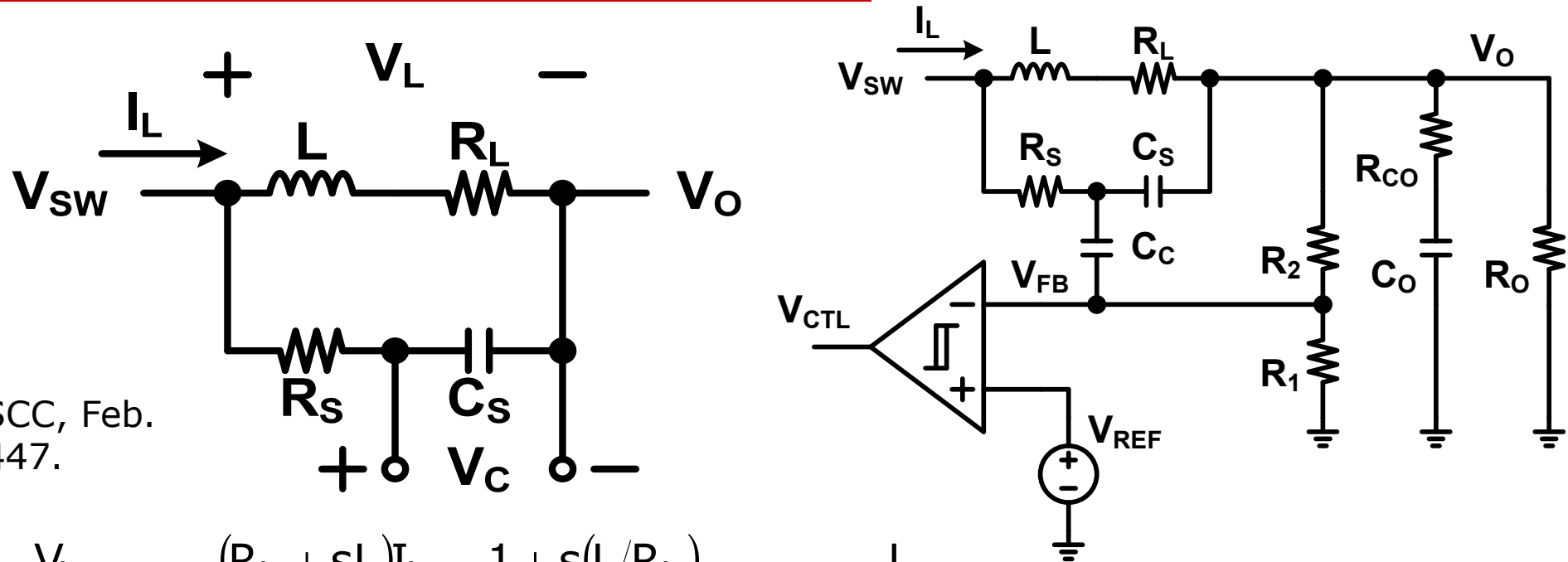


- **Dynamically-biased shunt feedback** uses 7 additional transistors to push the non-dominant pole in the feedback loop to a higher frequency.
- The UGF of the feedback loop is increased, thereby minimizing the sensing delay for higher f_s .

M. Du et. al., TCAS-I, Oct. 2010, pp. 2804-2814.

M. Du et. al., JSSC, Aug. 2011, pp. 1928-1939.

Filter-Based Current Sensing

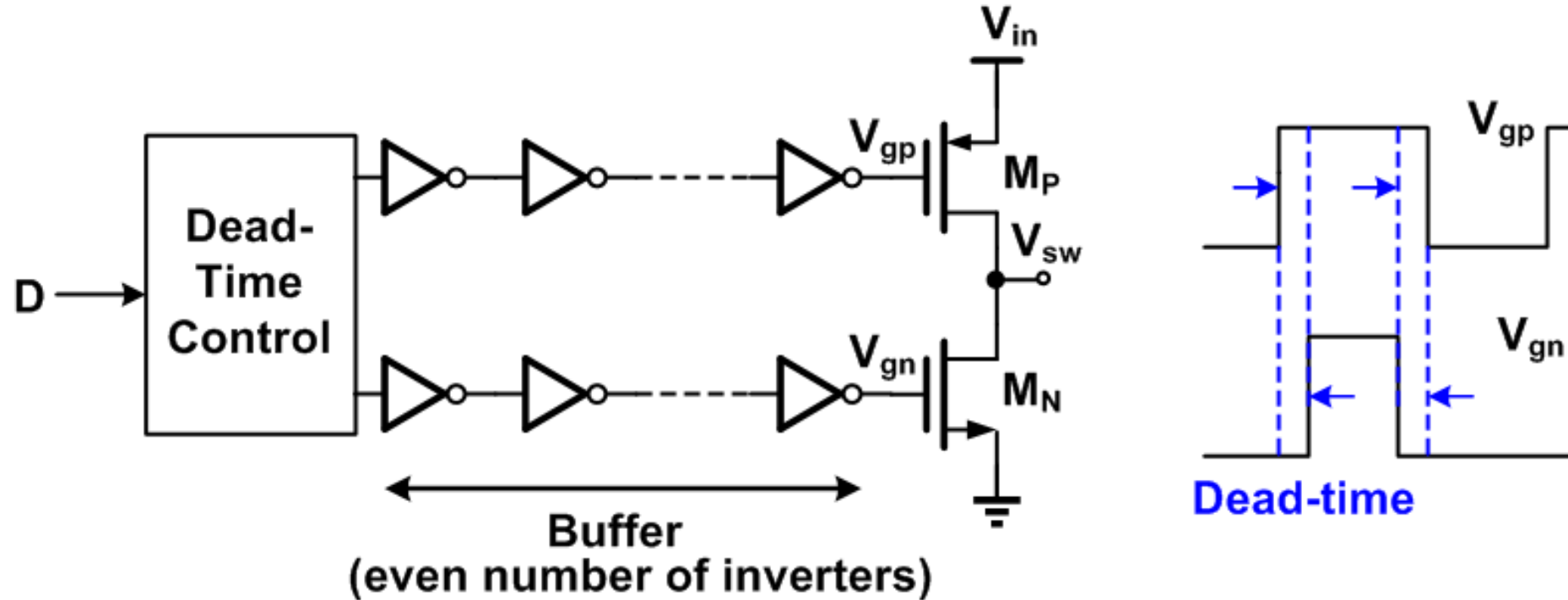


F. Su et. al., ISSCC, Feb. 2009, pp. 446-447.

$$V_C = \frac{V_L}{1 + sR_S C_S} = \frac{(R_L + sL)I_L}{1 + sR_S C_S} = \frac{1 + s(L/R_L)}{1 + sR_S C_S} R_L I_L \approx \frac{L}{R_S C_S} I_L \quad \text{if } R_S C_S \gg T_s$$

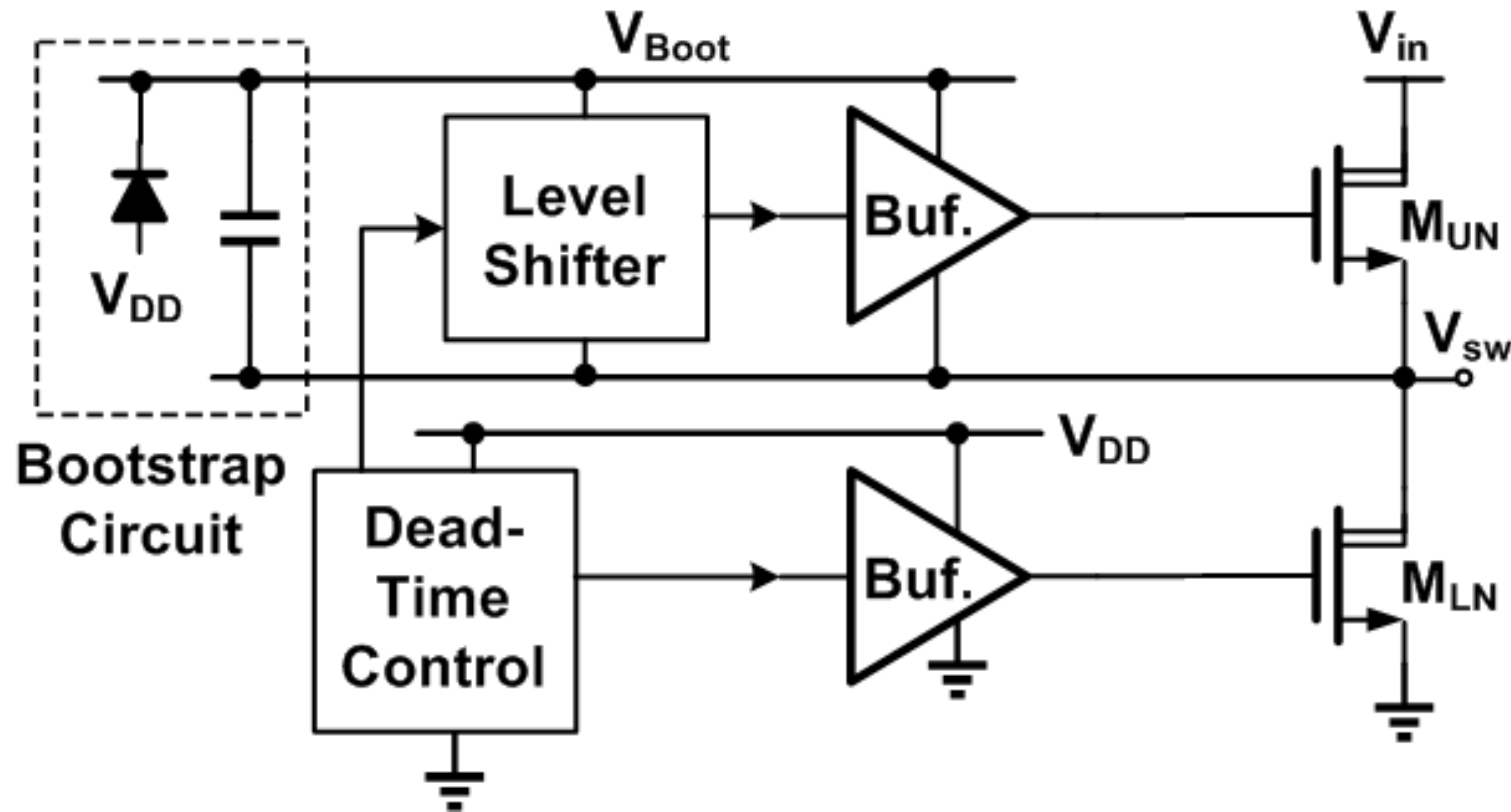
- Capacitor voltage V_C of a lossless low-pass filter formed by R_S and C_S in parallel with the inductor provides inductor current information.
- This current sensor provides high-speed current sensing and is suitable for high-frequency (of over 10MHz) DC-DC converters.

Gate Driver for HS Power pMOS



- Gate driver structure: dead-time control and digital buffers (for LV synchronous converters with a high-side power pMOS and a low-side nMOS).
- Dead-time avoids simultaneous conduction of power transistors during switching transitions, removing the short-circuit loss.

Gate Driver for High-Side Power nMOS (1)

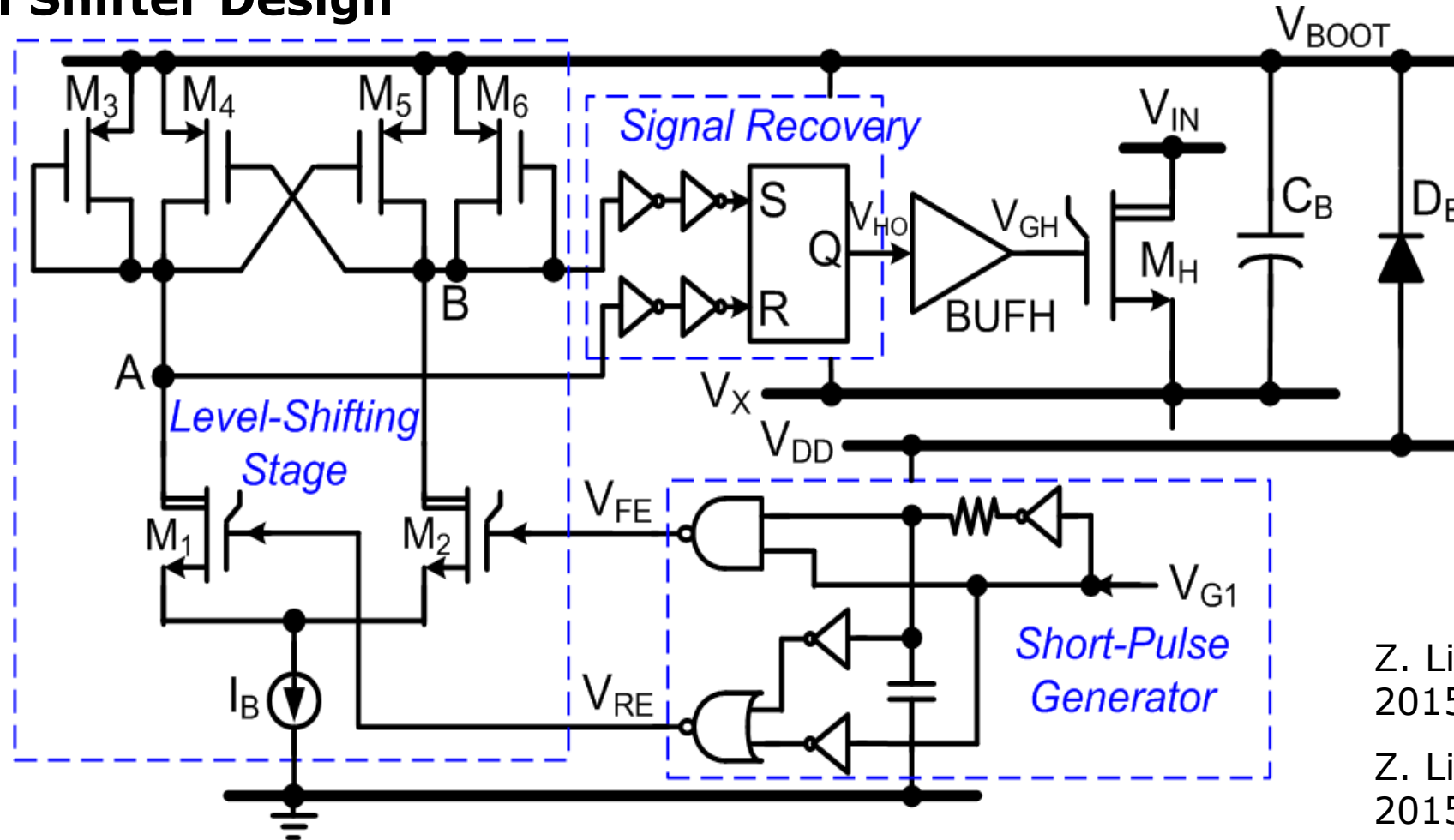


M_{UN} and M_{LN} are HV FETs if $V_{in} \gg V_{DD}$

- With a high-side power nMOS, the gate driver needs to have an additional level shifter and bootstrap circuit.
- Bootstrap supply V_{BOOT} ($= V_{IN} + V_{DD}$) is realized by a diode and a capacitor.

Gate Driver for High-Side Power nMOS (2)

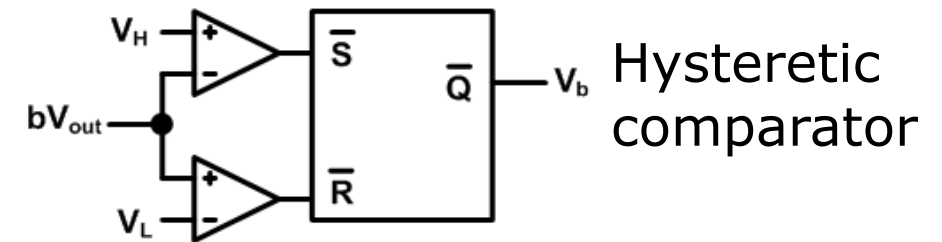
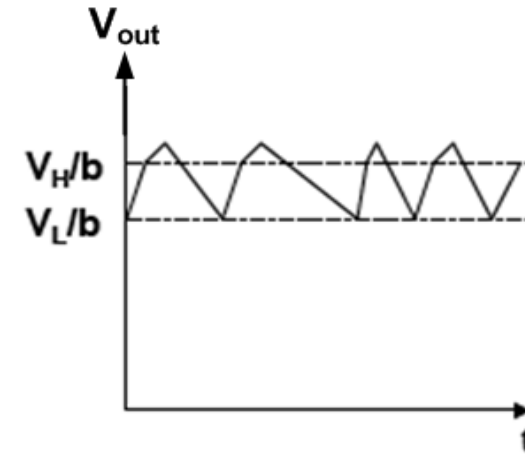
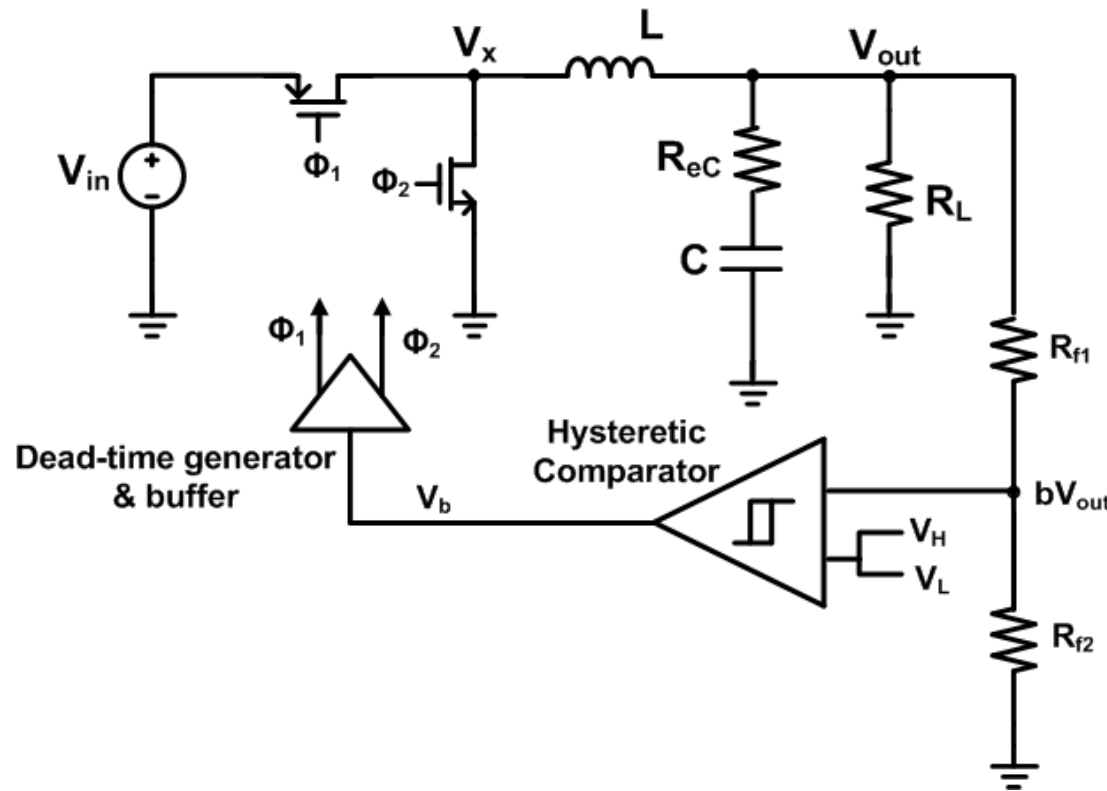
Level Shifter Design



Z. Liu et. al., JSSC, Jun. 2015, pp. 1463-1477.

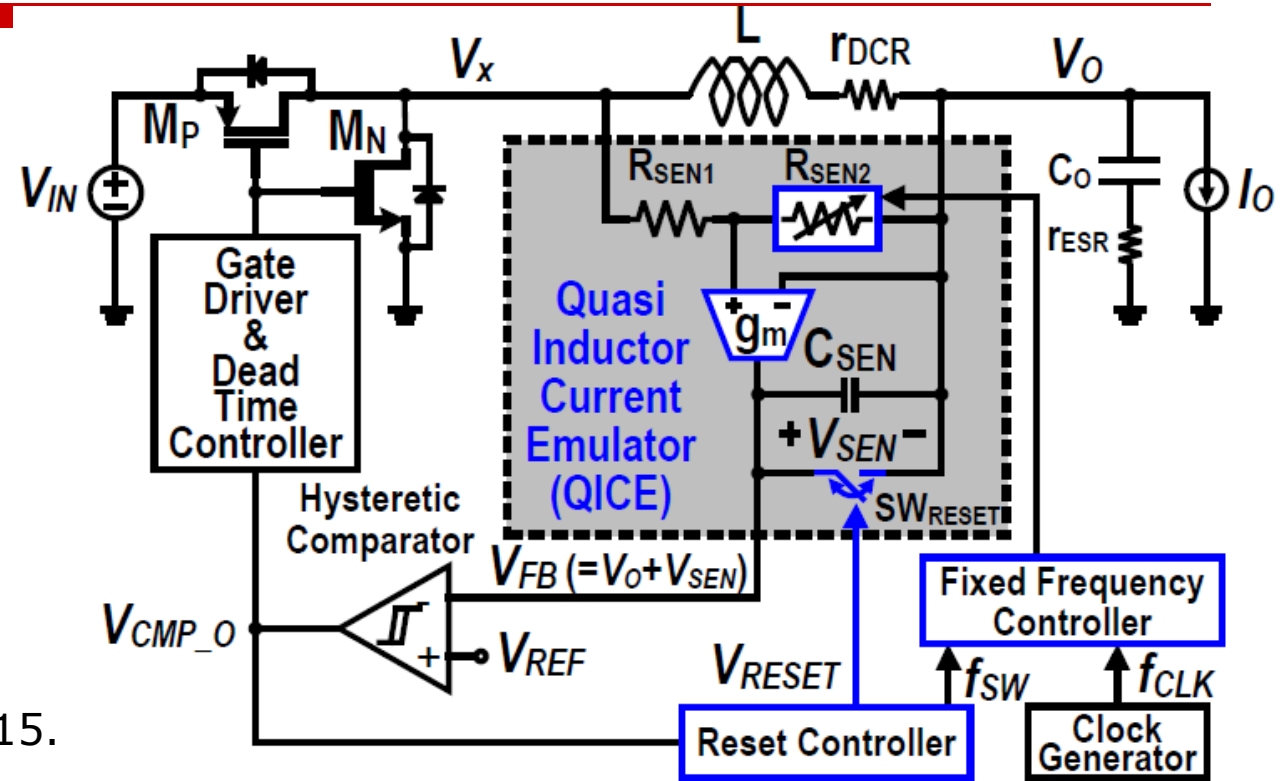
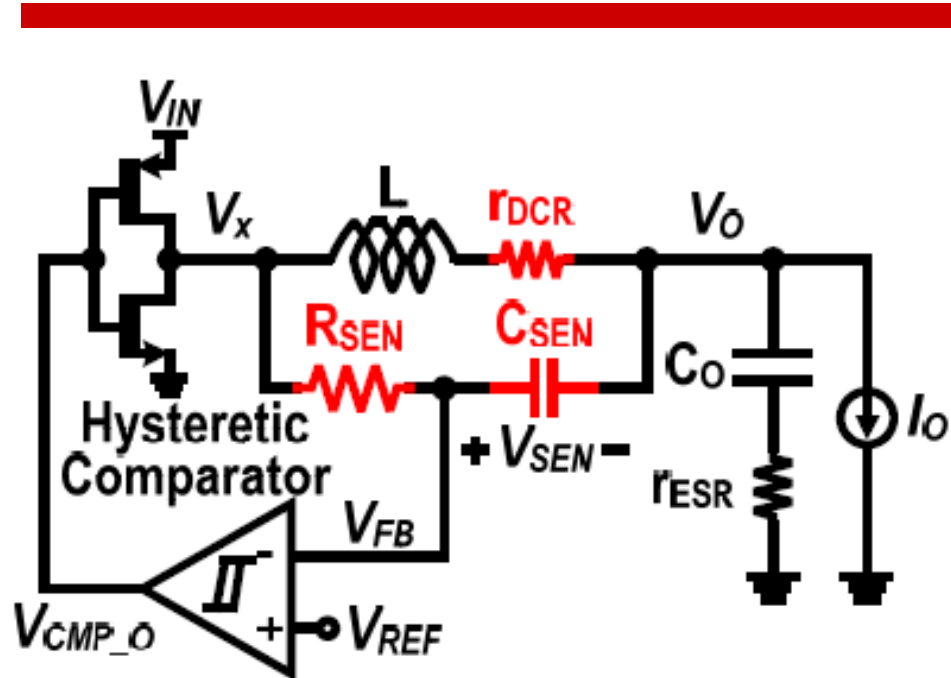
Z. Liu et. al., JSSC, Sep. 2015, pp. 2174-2187.

Hysteretic Control



- Compared to PWM control, hysteretic control improves the converter load transient response without using the bandwidth-limited error amplifier in the controller.
- Cons: large output ripple and unpredictable output noise spectrum with variable switching frequency

Current-Mode Hysteretic Control



S. H. Lee et. al., ISSCC, Feb. 2015, pp. 214-215.

- Current-mode hysteretic control (CMHC) offers smaller output ripple but slower transient response compared to voltage-mode counterpart.
- Quasi-current-mode hysteretic control improves transient response and reduces the required current sensing capacitance C_{SEN} compared to CMHC.

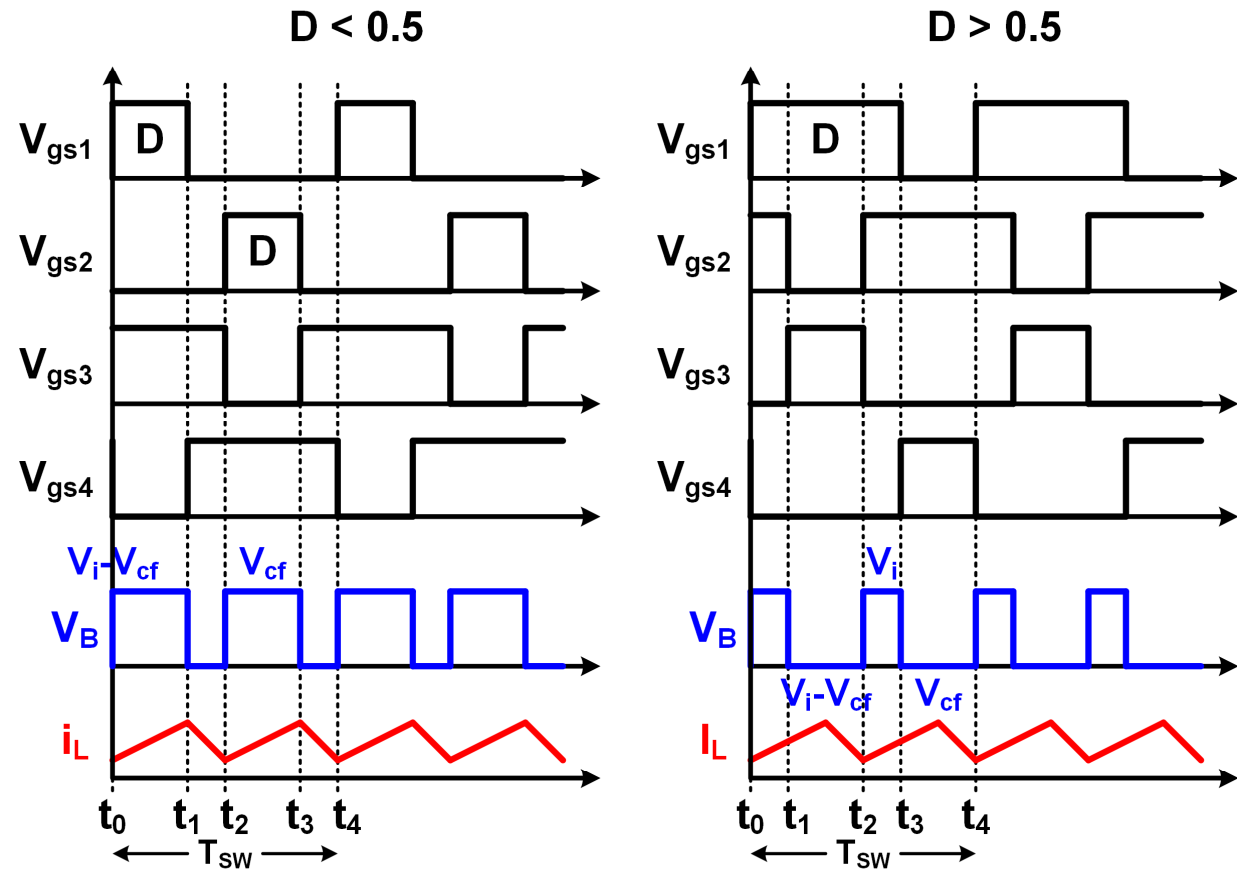
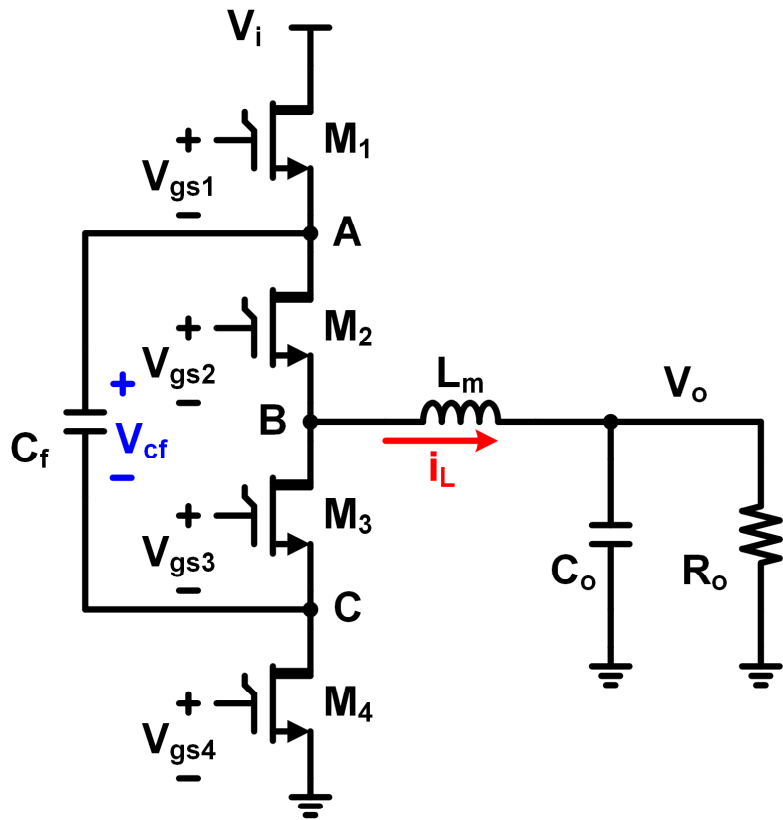
Outline

- SMPC Basics and Design Considerations

- Control Methodologies and Design Issues
 - Voltage-mode PWM and compensator design
 - Current-mode PWM and compensator design
 - Current sensor designs
 - Gate driver designs
 - Hysteretic control

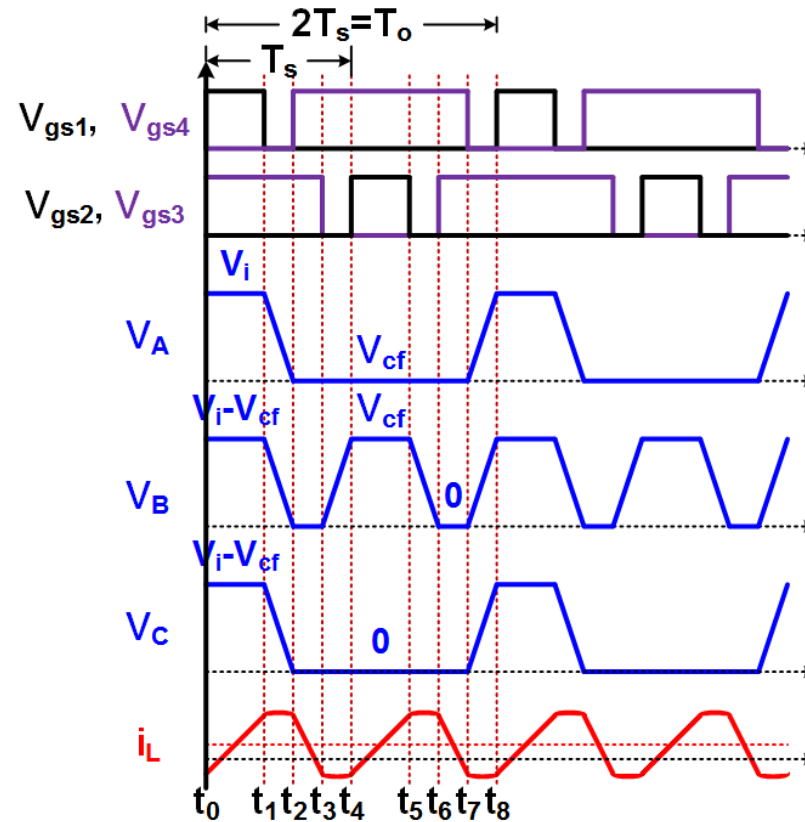
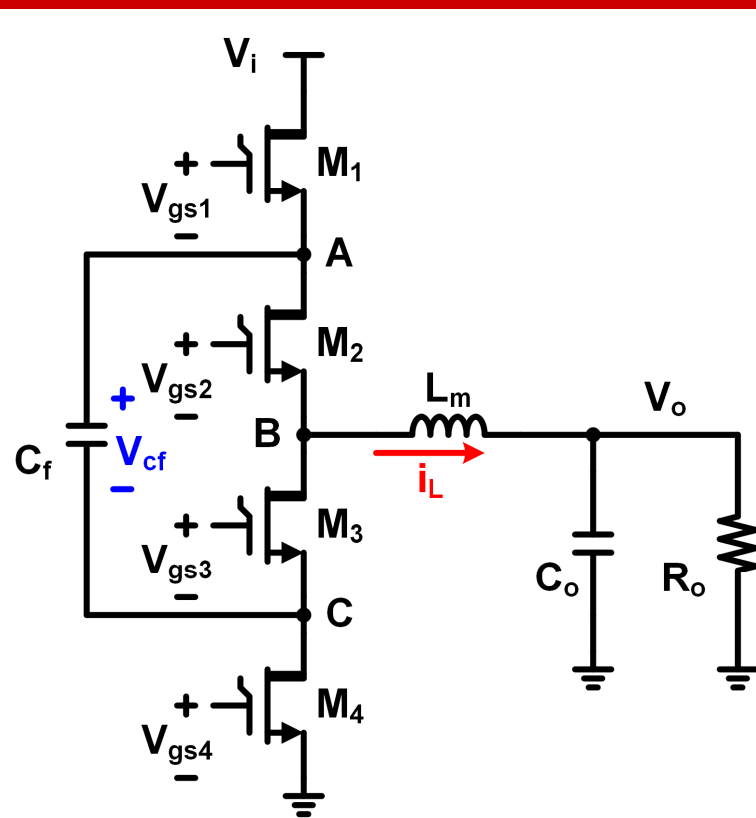
- Advanced Topic
 - Three-Level DC-DC Converter

Three-Level DC-DC Converter (1)



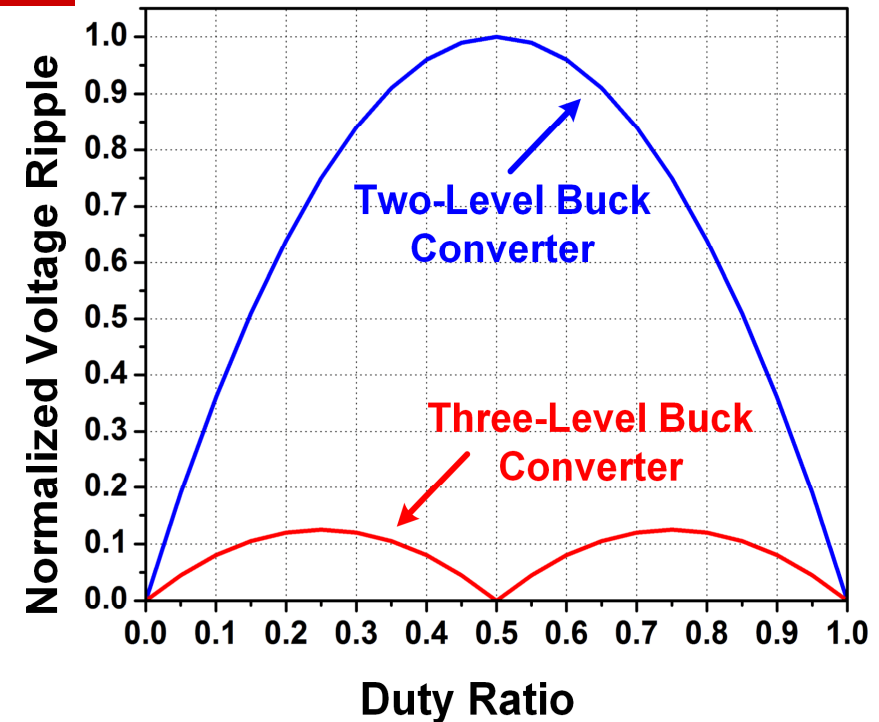
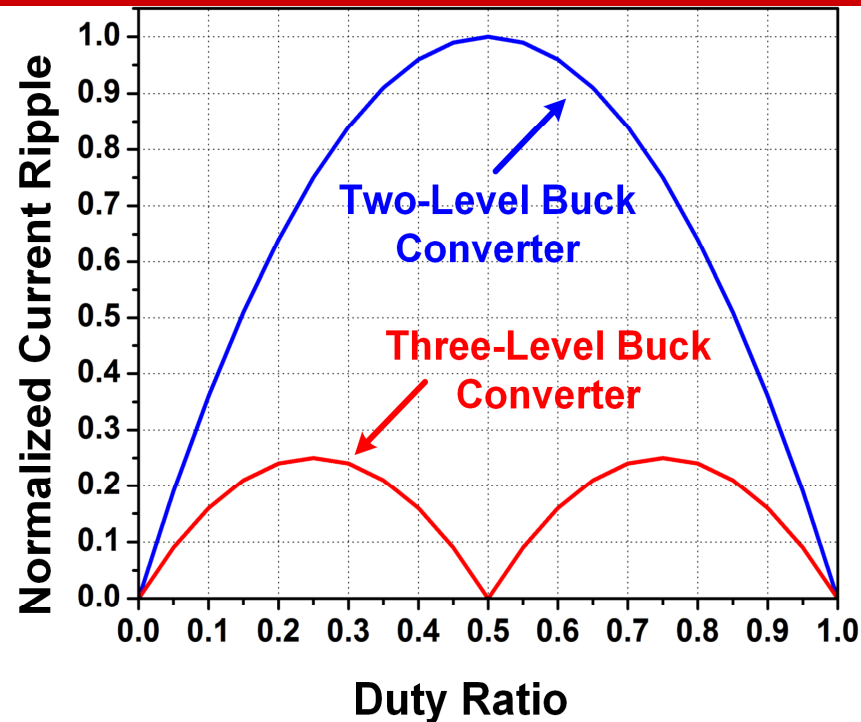
- A three-level converter has four power FETs ($M_1 - M_4$) and a flying capacitor C_f connected between nodes A and C with its voltage $V_{cf} = 0.5V_i$ in the steady state.

Three-Level DC-DC Converter (2)



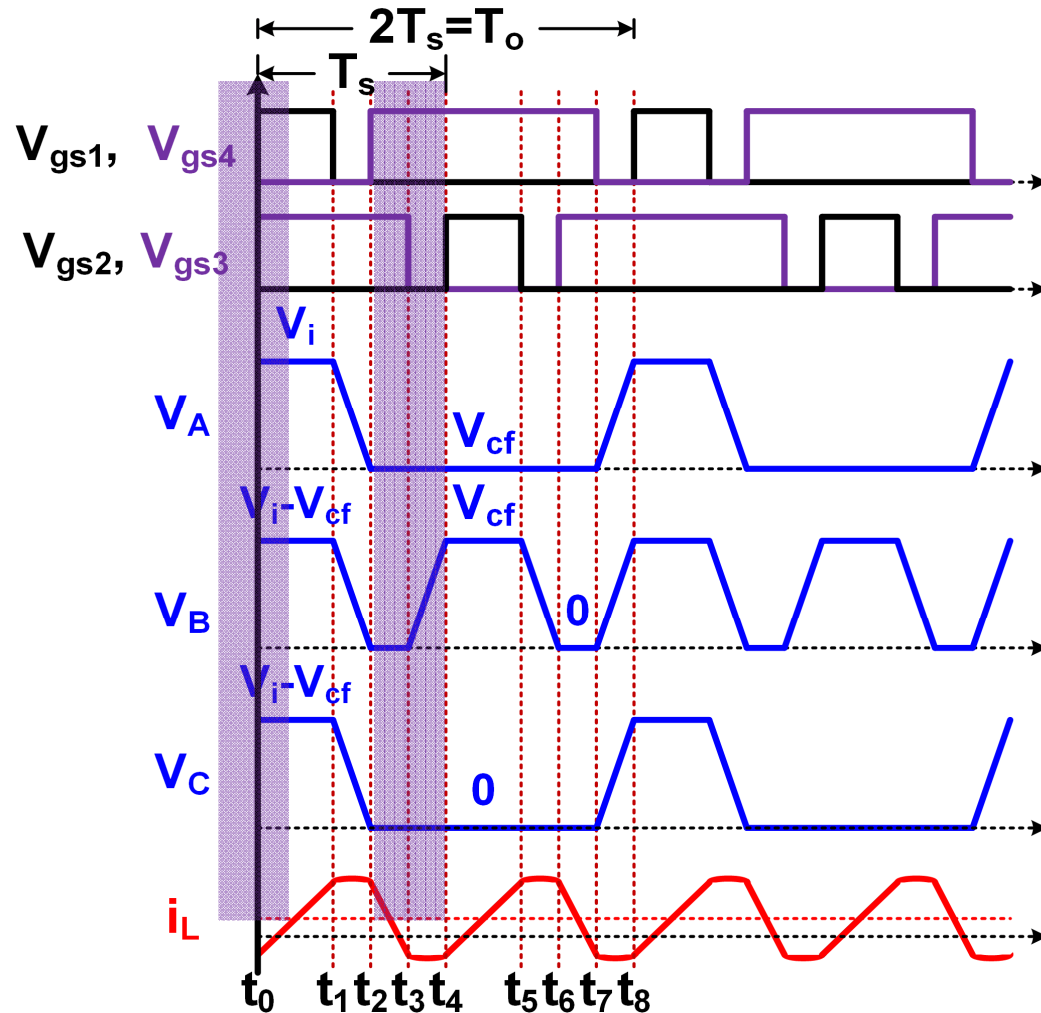
- The frequency of both inductor current and output voltage is 2 times larger than gate drive signals.
- The voltage swing at each switching node is only $V_i/2$ if $V_{cf} = V_i/2$.

Three-Level DC-DC Converter (3)



- Compared to a two-level converter, the three-level converter
 - reduces the required inductor value by 4 times
 - reduces the required output capacitor value by 2 times
 - reduces the voltage stress across each power switch by 2 times \Rightarrow smaller-size lower voltage rating power FETs can be used \Rightarrow suitable for HV applications

Voltage across the Flying Capacitor



□ ΔV_{cf} in charging phase

$$\Delta V_{cf_ch} = \frac{I_{L1} \times D_1 \times T_o}{C_f}$$

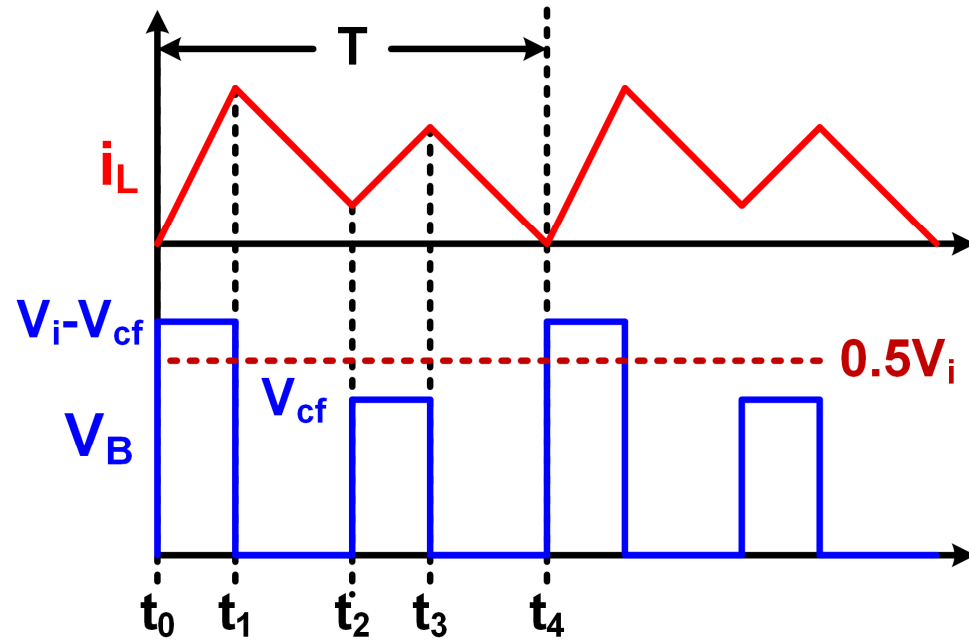
□ ΔV_{cf} in discharging phase

$$\Delta V_{cf_dis} = \frac{I_{L2} \times D_2 \times T_o}{C_f}$$

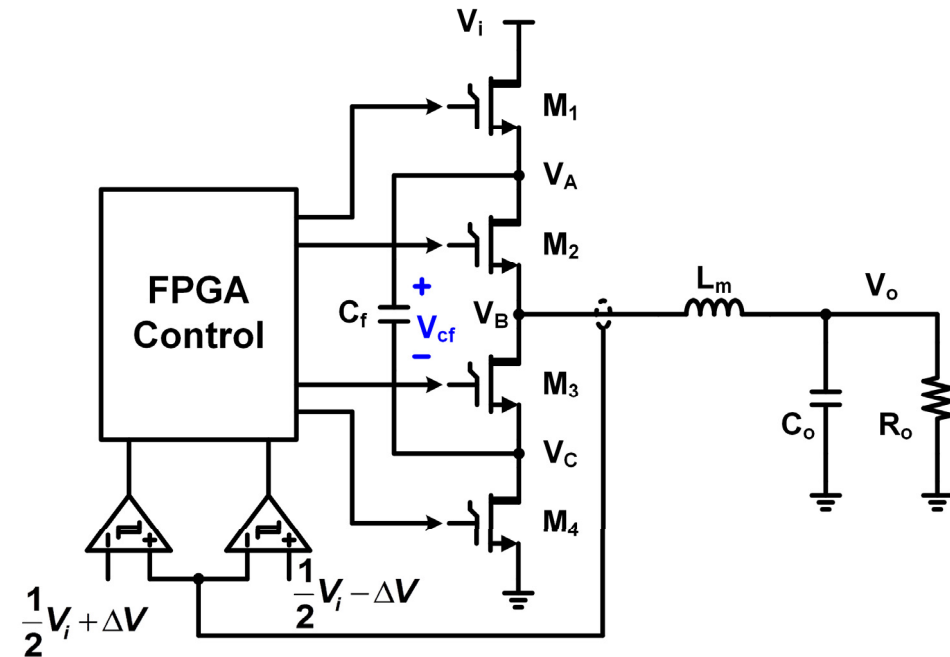
□ ΔV_{cf} in one period T_o

$$\Delta V_{cf} = \Delta V_{cf_ch} - \Delta V_{cf_dis} = \frac{(I_{L1} - I_{L2}) \times D \times T_o}{C_f}$$

Flying Capacitor Balancing



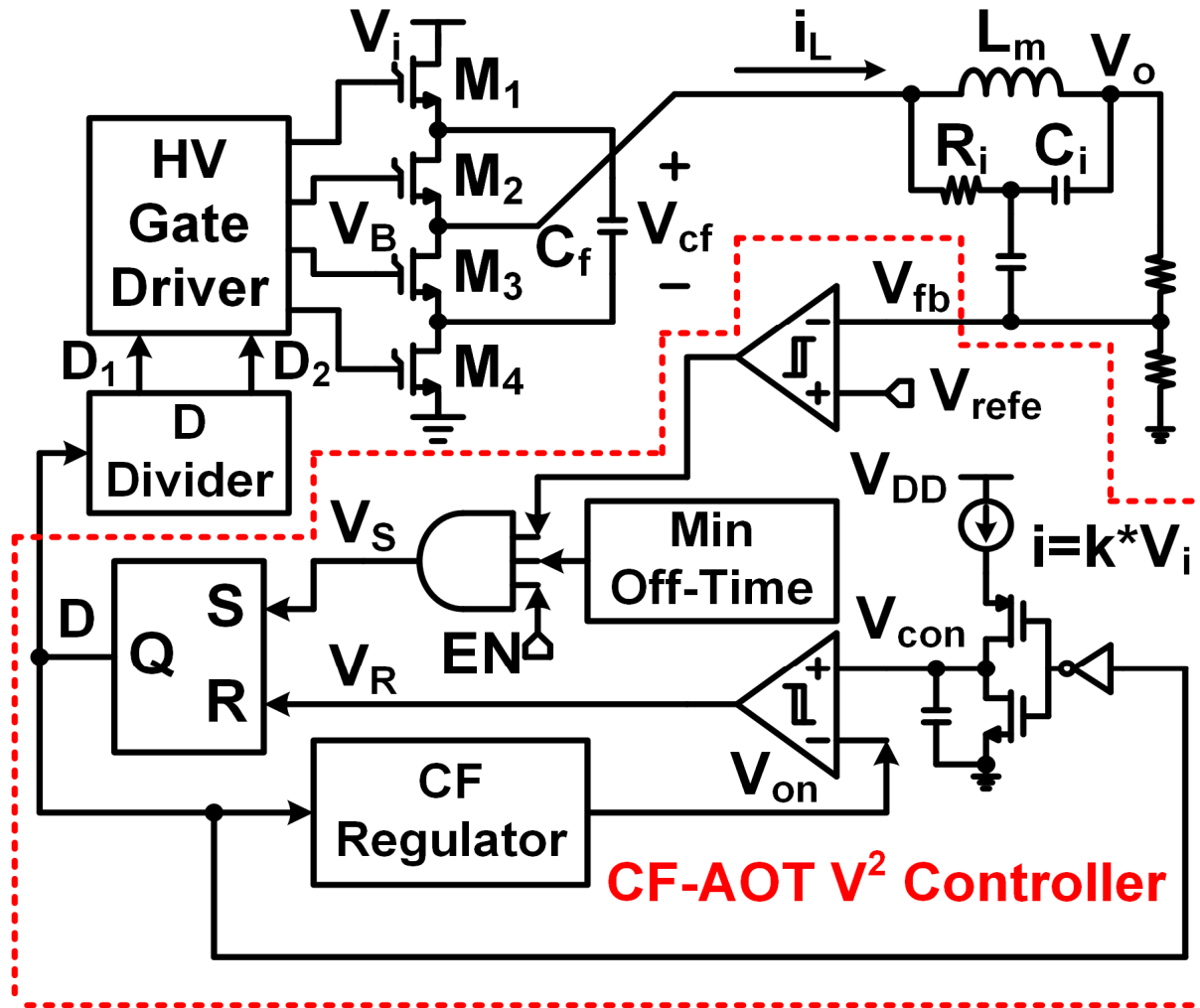
Flying capacitor unbalanced



Control of flying capacitor balancing

- The unbalanced behavior of the flying capacitor would damage the power FETs.
- Comparator-based balancing scheme with two external reference voltages can force V_{cf} close to $V_i/2$, and it is suitable for low-voltage applications.

Flying Capacitor Self Balancing (1)

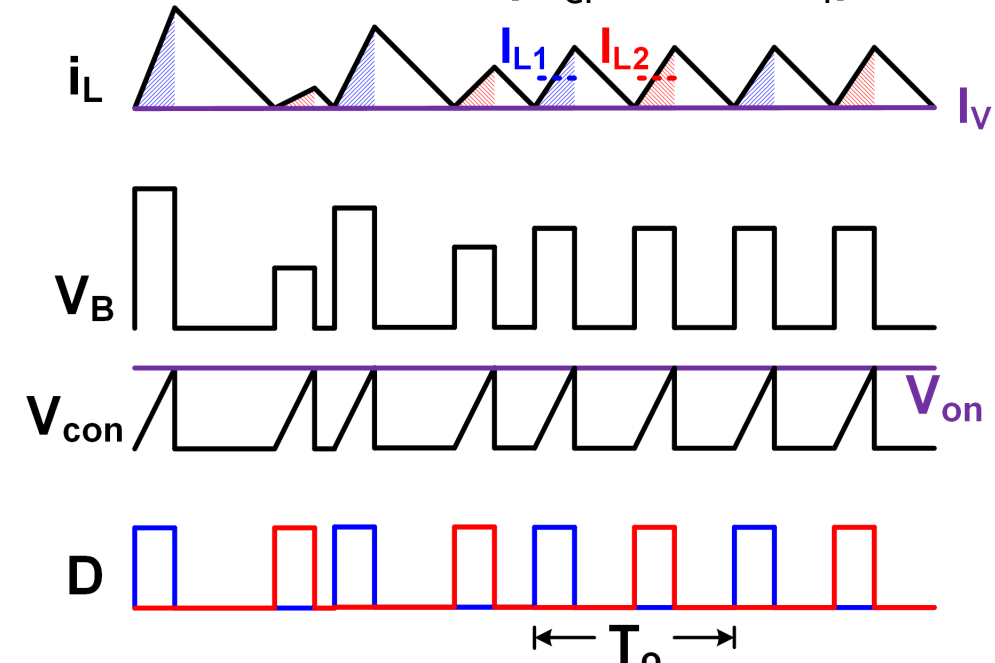


- Self-balancing means that both flying capacitor balancing and output voltage regulation are achieved simultaneously by the same controller.
- By regulating the average inductor current, both V_{cf} and V_o would be regulated automatically.

J. Xue et. al., ISSCC, Feb. 2016, pp. 226-227.
 J. Xue et. al., JSSC, Dec. 2016, pp. 2854-2866.

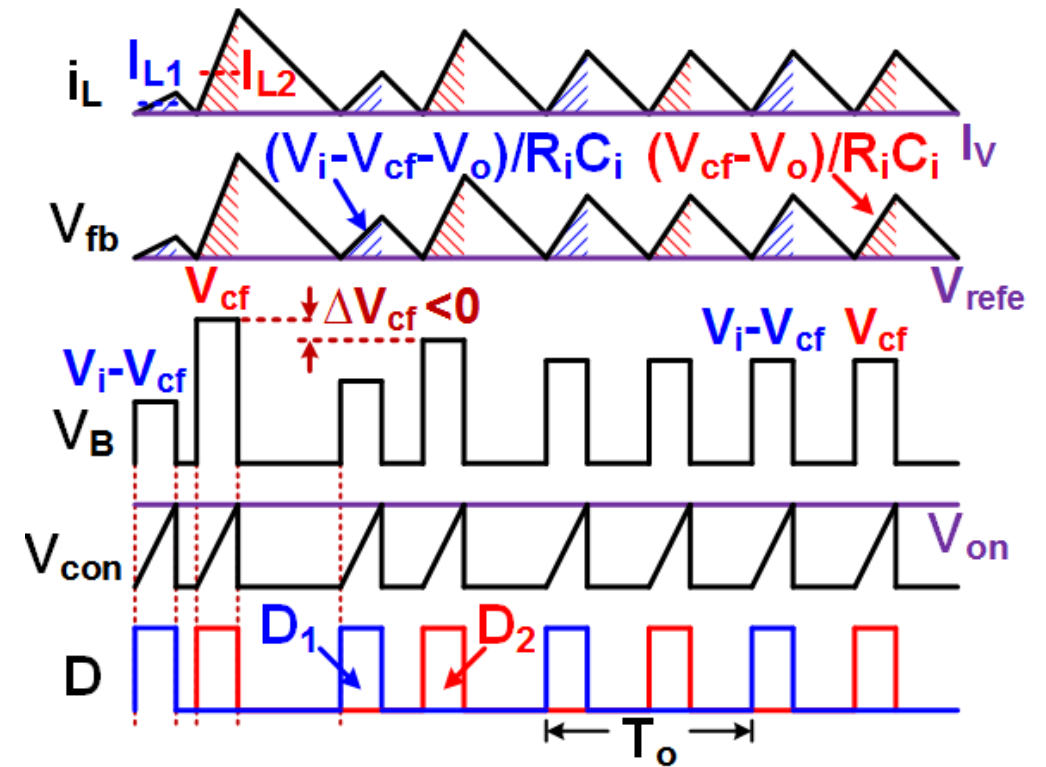
Flying Capacitor Self Balancing (2)

□ Perturbation ($V_{cf} < 0.5V_i$)



$$\Delta V_{cf} = \Delta V_{cf_ch} - \Delta V_{cf_dis} = \frac{(I_{L1} - I_{L2}) \times D \times T_o}{C_f} = 0$$

□ Perturbation ($V_{cf} > 0.5V_i$)



□ ΔV_{cf} continues to decrease until it equals 0 \Rightarrow the flying capacitor voltage is regulated at $V_{cf} = 0.5V_i$.

Loop Gain of Three-Level Converters

- The loop gain $T(s)$ of the three-level converter

$$T(s) = b \cdot A(s) \cdot \frac{V_{in}}{V_M} \cdot \frac{1}{1 + s \frac{L}{R} + s^2 LC}$$

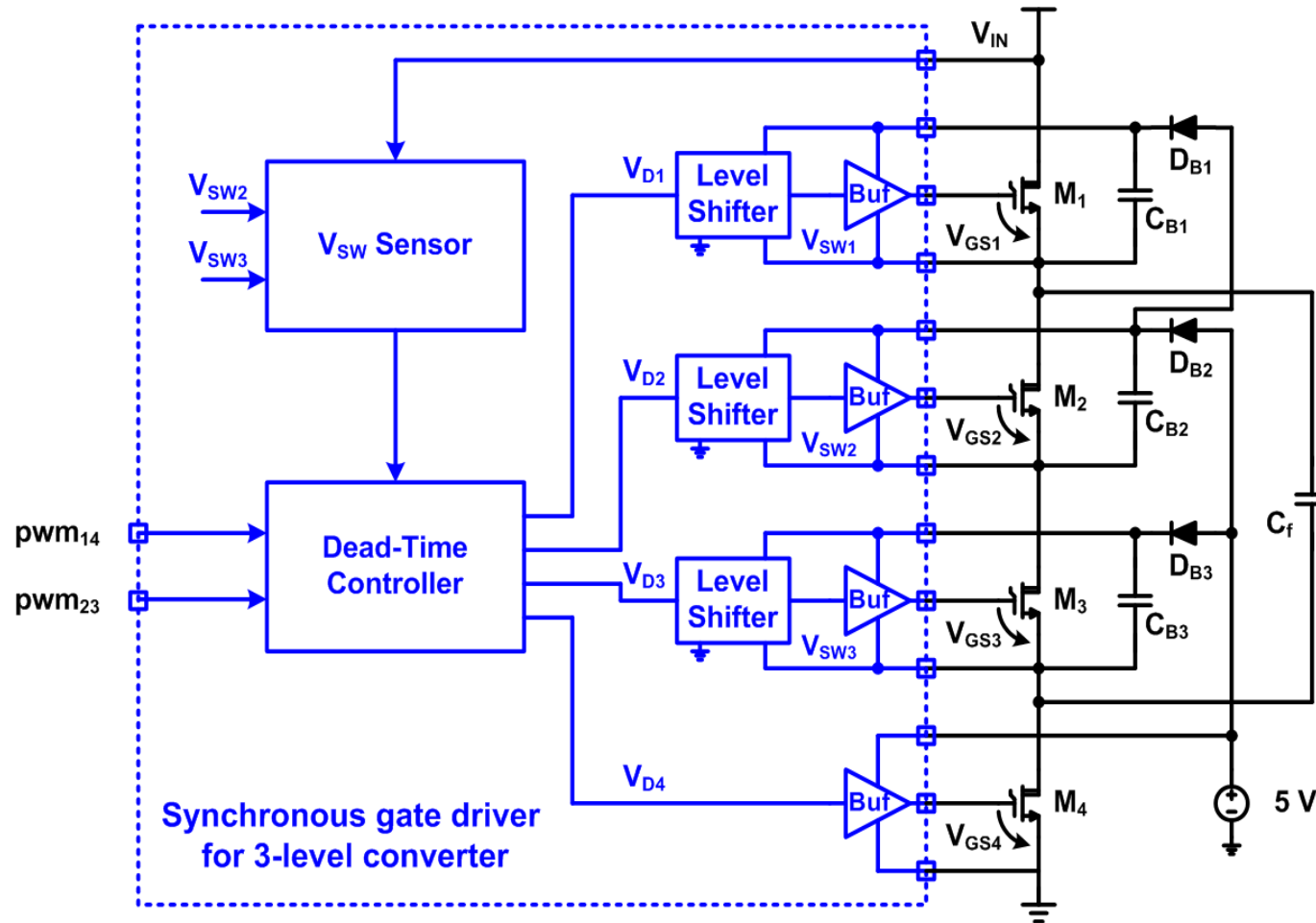
Note: b is the feedback resistor ratio

$A(s)$ is the transfer function of the compensator

V_M is the amplitude of the ramp signal

- The loop gain of the three-level converter is the same as that of two-level converter.
- Same controller type as the two-level converter can be used for the three-level converter.

Gate Driver for Three-Level Converters

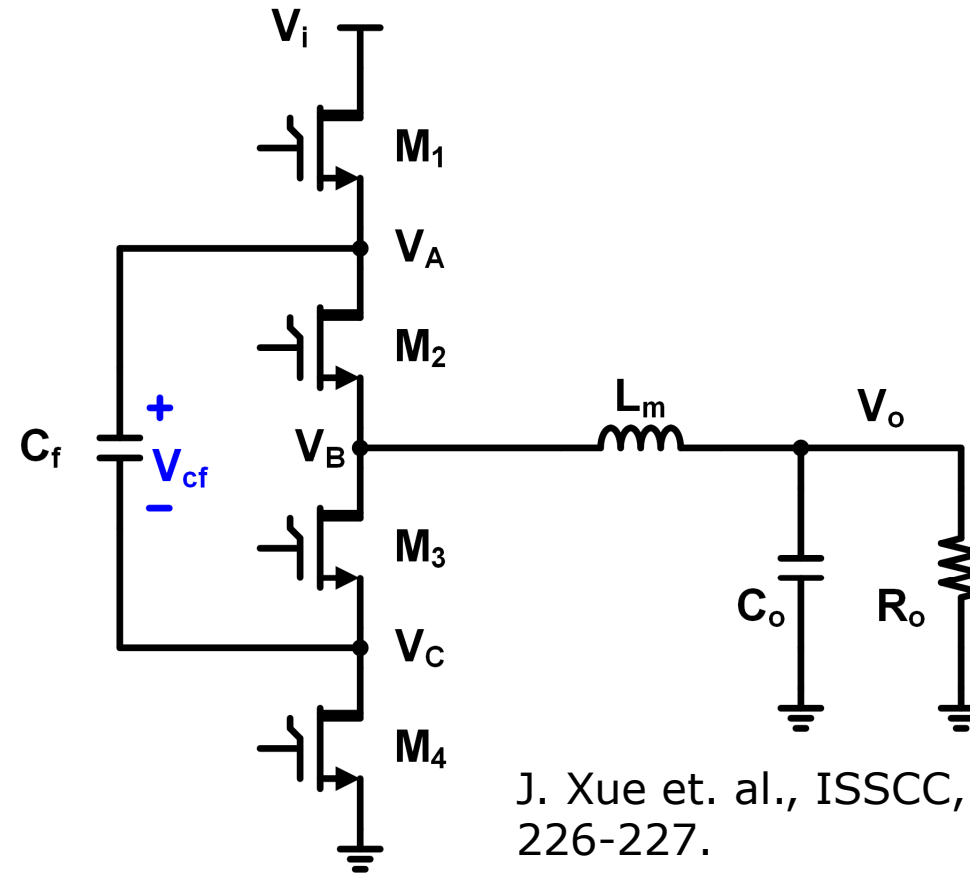
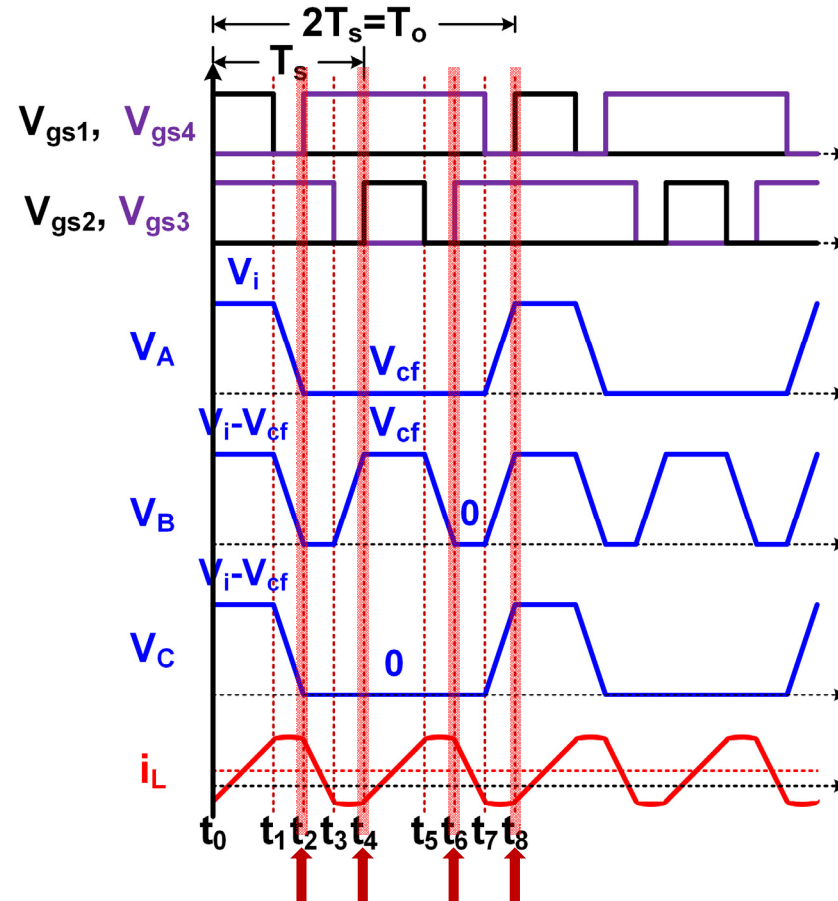


- Driver structure: 3 level shifters, 4 voltage buffers, 3 sets of bootstrap circuitry, and a dead-time controller
- In LV applications, open-loop fixed dead-time is used to remove the shoot-through current of power FETs and V_{SW} sensor is not necessary.

L. Cong et. al., ECCE, Sep. 2015, pp. 1479-1484.

J. Xue et. al., APEC, Mar. 2015, pp. 451-454.

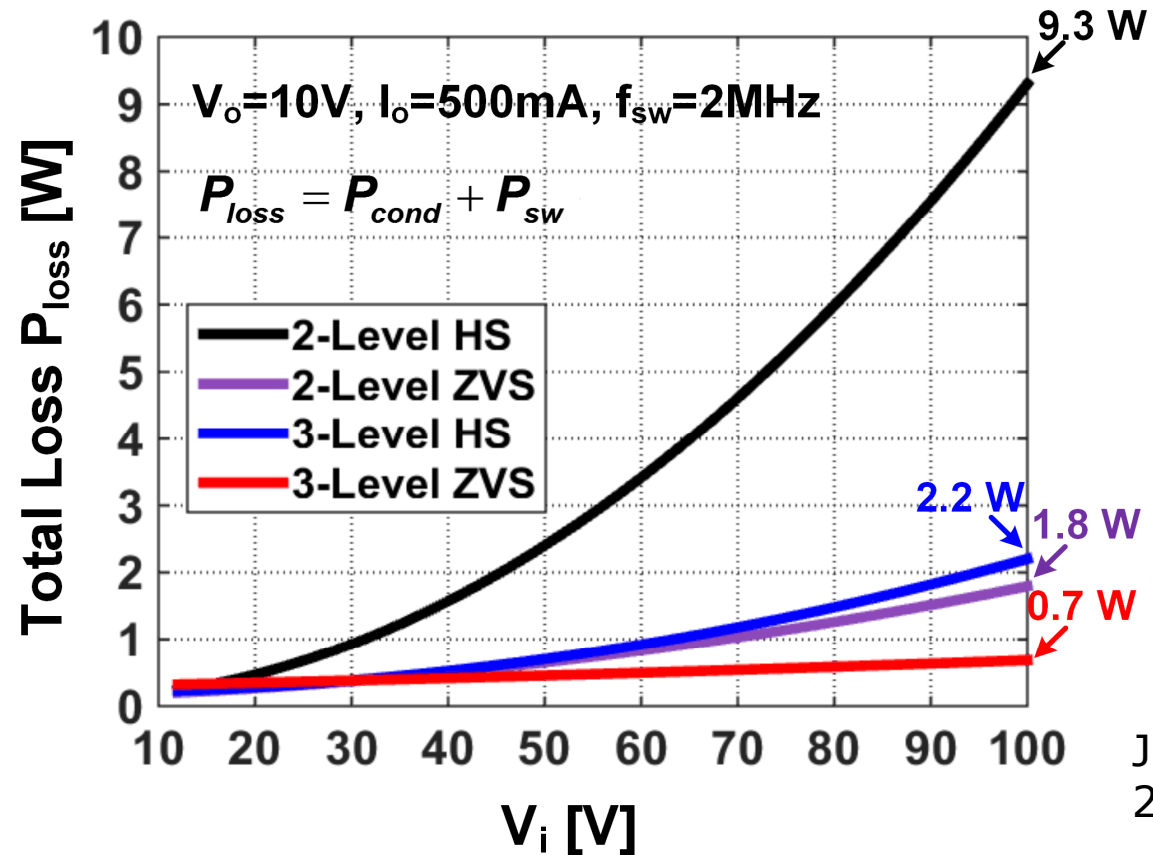
Example: 100V Three-Level DC-DC Converter (1)



J. Xue et. al., ISSCC, Feb. 2016, pp. 226-227.

- In high-input applications, all power FETs can realize ZVS during turn-on with negative inductor current using a small-size inductor.

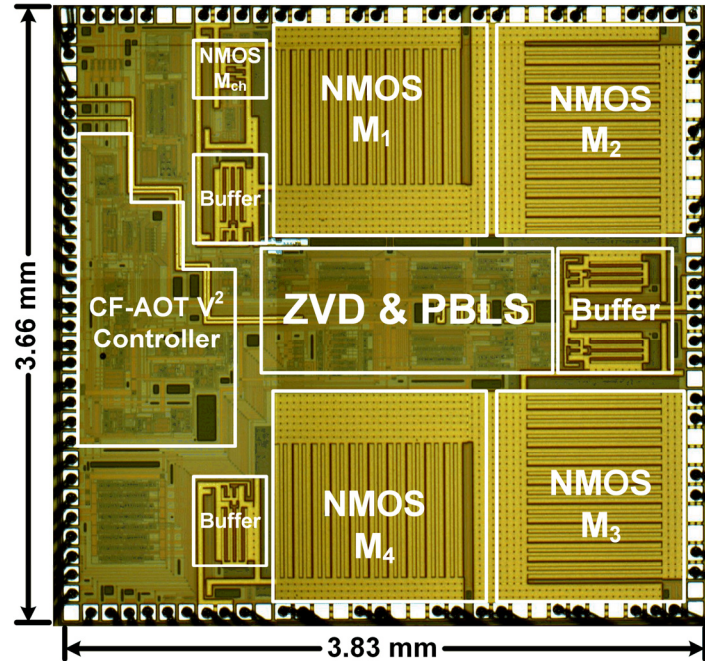
Example: 100V Three-Level DC-DC Converter (2)



J. Xue et. al., JSSC, Dec. 2016, pp. 2854-2866.

- The three-level ZVS converter has the lowest power loss under high inputs
 - 13 times reduction compared to the two-level hard switching converter at $V_i = 100V$
 - 2.6 times reduction compared to the two-level ZVS converter at $V_i = 100V$

Example: 100V Three-Level DC-DC Converter (3)



0.5- μm 120-V AMS CMOS process

	TI LM5007	This Work
Process	N. A.	0.5- μm 120-V CMOS
Topology	Two-Level, Asyn.	Three-Level, Syn.
Power FETs Stress	V_i	$0.5V_i$ ($V_i = 26\text{ V} - 100\text{ V}$)
Input Voltage (V)	12 - 75	12 - 100
Output Voltage (V)	10	10
Output Power (W)	4	5
Duty Ratio	0.13 - 0.83	0.11 - 0.83
Capacitor Balancing	N. A.	Yes, Self Balancing
Switching Operation	Hard	ZVS
Frequency (MHz)	~ 0.4	~ 2
Frequency Variation	$\pm 3.8\%$	$\pm 0.55\%$
L	100 μH	1.5 μH
C	15 μF (C_o)	4.7 μF (C_o) + 1 μF (C_f)
Peak Power Efficiency (Frequency)	86% @ $V_i = 50\text{ V}$, 83% @ $V_i = 70\text{ V}$ (0.4 MHz)	90% @ $V_i = 48\text{ V}$, 86% @ $V_i = 72\text{ V}$, 83% @ $V_i = 100\text{ V}$, (2 MHz)

Summary

- Switched-mode power converters are the most popular DC-DC converters for high-efficiency voltage conversion
 - Power topology characteristics with CCM vs DCM operation
 - Power loss analysis and efficiency-enhancement techniques
 - Passive selection strategy
 - Voltage-mode PWM control and its frequency compensation strategy
 - Current-mode PWM control and its frequency compensation strategy
 - Current sensor design
 - Hysteretic control
- Advanced material: Three-level DC-DC converter
 - Topology characteristics
 - Flying capacitor balancing and gate driver structure

Related Papers in ISSCC 2018

□ **High-voltage DC-DC Converter: Paper 24.1**

L. Cong, H. Lee, "A 2MHz 150-to-400V Input Isolated DC-DC Bus Converter with Monolithic Slope-Sensing ZVS Detection Achieving 13ns Turn-on Delay and 1.6W Power Saving," ISSCC 2018.

□ **Low Voltage Ripple Step-Up Converter: Paper 27.5**

S. U. Shin, et. al., "A 95.2% Efficiency Dual-Path DC-DC Step-Up Converter with Continuous Output Current Delivery and Low Voltage Ripple," ISSCC 2018.

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- M. D. Mulligan, B. Broach, and T. H. Lee, "A constant-frequency method for improving light-load efficiency in synchronous buck converters," *IEEE Power Electronics Letters*, pp. 24 - 29, Mar. 2005.
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- C. F. Lee and P. K. T. Mok, "A monolithic current-mode CMOS DC-DC converter with on-chip current-sensing technique," *IEEE Journal of Solid-State Circuits*, vol. 39, no. 1, pp. 3 – 14, Jan. 2004.
- M. Du and H. Lee, "An integrated speed- and accuracy-enhanced CMOS current sensor with dynamically-biased shunt feedback for current-mode buck regulators," *IEEE Transactions on Circuits and Systems-I*, vol. 57, no. 10, pp. 2804 – 2814, Oct. 2010.

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- F. Su and W. H. Ki, "Digitally assisted quasi- V^2 hysteretic buck converter with fixed frequency and without using large-ESR capacitor," *IEEE International Solid-State Circuits Conference*, Feb. 2009, pp. 446 – 447.
- Z. Liu, L. Cong, and H. Lee, "Design of on-chip gate drivers with power-efficient high-speed level shifting and dynamic timing control for high-voltage synchronous switching power converters," *IEEE Journal of Solid-State Circuits*, vol. 50, no. 6, pp. 1463 – 1477, Jun. 2015.
- Z. Liu and H. Lee, "A 26W 97%-efficiency fast-settling dimmable LED driver with dual-nMOS-sensing based glitch-tolerant synchronous current control for high-brightness solid-state lighting applications," *IEEE Journal of Solid-State Circuits*, vol. 50, no. 9, pp. 2174 – 2187, Sep. 2015.
- S. H. Lee, et. al., "A 0.518mm² quasi-current-mode hysteretic buck DC-DC converter with 3 μ s transient response in 0.35 μ m BCDMOS," *IEEE International Solid-State Circuits Conference*, Feb. 2015, pp. 214 – 215.

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